On Tuesday, December 13, 2011, the Commission and staff will meet informally at 9:30 a.m. in the Materials conference room at the DOT complex in Ames. Transportation-related matters will be discussed but no action will be taken.
DEPARTMENT OF TRANSPORTATION
COMMISSION ORDER

Division/Bureau/Office: Director's Office
Order No.: D-2012-29
Submitted by: Connie Page
Phone No.: 515-239-1242
Meeting Date: December 13, 2011
Title: Approve Minutes of the November 8, 2011, Commission Meeting

DISCUSSION/BACKGROUND:

PROPOSAL/ACTION RECOMMENDATION:
It is recommended the Commission approve the minutes of the November 8, 2011, Commission meeting.

COMMISSION ACTION:
Moved by: Cleaveland
Seconded by: Yanney

<table>
<thead>
<tr>
<th></th>
<th>Aye</th>
<th>Vote</th>
<th>Pass</th>
</tr>
</thead>
<tbody>
<tr>
<td>Blouin</td>
<td>X</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cleaveland</td>
<td>X</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Miles</td>
<td>X</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Reasner</td>
<td>X</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Rose</td>
<td>X</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Wiley</td>
<td>X</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Yanney</td>
<td>X</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Division Director
Legal
State Director
Commission Comments

Commission Chair Reasner said the Commission and staff had a very productive workshop this morning. She noted approximately 40 people listened in this morning. We hope that number continues to increase and people show interest in what we do by attending via the internet.

Commission Chair Reasner said we are heading into a new year. The Commission appreciates the hard work that staff does and wishes everyone happy holidays.

Commissioner Rose expressed appreciation to the DOT staff and Commissioners for their guidance and helpfulness. It has been an exciting first year for him, and he wished everyone a “Merry Christmas.”
Emergency Rules – Iowa Administrative Code 761—607 Commercial Driver’s License

DISCUSSION/BACKGROUND:

Administrative Rules will be amended to reflect amendments made to the following Iowa Code Chapters by 2011 Iowa Acts, Senate File 205, effective July 1, 2011:

- 321.174 Operators licensed – operation of commercial motor vehicles
- 321.188 Commercial driver’s license requirements
- 321.207 (New) Downgrade of commercial driver’s license

Iowa DOT must begin compliance with the federal rules found in 49 CFR Part 383.71 and 73 on January 30, 2012, when issuing to first-time applicants of a commercial driver’s license (CDL), or drivers who upgrade or transfer a CDL. Applicants must certify to the type of driving they engage in or expect to engage in. Those drivers who certify to non-excepted interstate commerce must provide a copy of a valid medical examiner’s certificate. All other CDL holders must comply by January 30, 2014. Iowa DOT must adhere to mandatory recordkeeping requirements. A non-compliant driver’s commercial privilege must be downgraded to a non-commercial status.

States that fail to comply face withholding of federal-aid highway funds per 49 CFR Part 384.401.

PROPOSAL/ACTION RECOMMENDATION:

It is recommended the Commission approve the attached rule amendments.
Director Paul Trombino III said what we are proposing is basically to update the medical certificate for commercial driver’s license (CDL). This is to put the Iowa DOT and the state of Iowa in compliance with federal rules under 49 CFR. We are moving forward with emergency rules to put these in place and we are doing a public campaign to get the information out to CDL drivers so they can comply with the process.

Commissioner Chair Reasner said the Commission reviewed this process during the workshop and also reviewed a brochure and made comments on that.

Commissioner Miles moved, Commissioner Rose seconded the Commission approve the attached rule amendments. All voted aye.
ITEM 1. Adopt the following new definitions of "Commercial driver's license," "Commercial driver's license downgrade," "Commercial driver's license information system driver record," "Medical examiner," "Medical examiner's certificate," "Medical variance," and "Self-certification" in rule 761—607.3(321):

"Commercial driver's license" or "CDL" means a license issued to an individual by a state or other jurisdiction of domicile, in accordance with the standards contained in 49 CFR Part 383, which authorizes the individual to operate a class of a commercial motor vehicle.

"Commercial driver's license downgrade" or "CDL downgrade" means either:

1. The driver changes the driver's self-certification of type of driving from non-excepted interstate to excepted interstate, non-excepted intrastate, or excepted intrastate driving, or
2. The department removed the CDL privilege from the driver's license.

"Commercial driver's license information system driver record" or "CDLIS driver record" means the electronic record of the individual's CDL driver's status and history stored by the state-of-record as part of the commercial driver's license information system established under 49 U.S.C. Section 31309.

"Medical examiner" means a person who is licensed, certified or registered, in accordance with applicable state laws and regulations, to perform physical examinations. The term includes but is not limited to doctors of medicine, doctors of osteopathy, physician assistants, advanced registered nurse practitioners, and doctors of chiropractic.

"Medical examiner's certificate" means a certificate completed and signed by a medical examiner under the provisions of 49 CFR Section 391.43.

"Medical variance" means a driver has received one of the following from the Federal Motor Carrier Safety Administration that allows the driver to be issued a medical certificate:

1. An exemption letter permitting operation of a commercial motor vehicle pursuant to 49 CFR Part 381, Subpart C or 49 CFR Section 391.62, or 49 CFR Section 391.64.
2. A skill performance evaluation certificate permitting operation of a commercial motor vehicle pursuant to 49 CFR Section 391.49.

"Self-certification" means a written certification of which category of type-of-driving an applicant for a commercial driver's license engages in or intends to engage in, from the following categories:

1. Non-excepted interstate. The person certifies that the person operates or expects to operate in interstate commerce, is both subject to and meets the qualification requirements under 49 CFR Part 391, and is required to obtain a medical examiner's certificate by 49 CFR Section 391.45.

2. Excepted interstate. The person certifies that the person operates or expects to operate in interstate commerce, but engages exclusively in transportation or operations excepted under 49 CFR Sections 390.3(f), 391.2, 391.68 or 398.3 from all or parts of the qualification requirements of 49 CFR Part 391, and is therefore not required to obtain a medical examiner's certificate by 49 CFR Section 391.45.

3. Non-excepted intrastate. The person certifies that the person operates only in intrastate commerce and is subject to state driver qualification requirements.

4. Excepted intrastate. The person certifies that the person operates only in intrastate commerce, but engages exclusively in transportation or operations excepted from all or parts of the state driver qualification requirements as set forth in Iowa code section 321.449.

ITEM 2. Amend 761—607.3(321), implementation sentence, as follows:

This rule is intended to implement Iowa Code sections 321.1, 321.174, 321.188 as amended by 2011 Iowa Acts, Senate File 205, sections 13 and 14, 321.191, 321.193, and 321.208 and 2011 Iowa Acts, Senate File 205, section 17.
ITEM 3. Amend 761—607.10(321) as follows:

761—607.10(321) Adoption of federal regulations.

607.10(1) Code of Federal Regulations. The department adopts the following portions of the Code of Federal Regulations which are referenced throughout this chapter of rules:

   a. 49 CFR Section 391.11 as adopted in 761—Chapter 520.
   
   b. 49 CFR Section 392.5 as adopted in 761—Chapter 520.
   
   c. The following portions of 49 CFR Part 383 (October 1, 2008-2011):
      
         (1) Section 383.51(b), Disqualification for major offenses, and Section 383.51(a)(5), Reinstatement after lifetime disqualification.
   
         (2) Subpart E—Testing and Licensing Procedures, which contains Sections 383.71-383.77.
   
         (3) Subpart G—Required Knowledge and Skills, which contains Sections 383.110-383.123.
   
         (4) Subpart H—Tests, which contains Sections 383.131-383.135.

607.10(2) Copies of regulations. Copies of the federal regulations may be reviewed at the state law library or through the Internet at http://www.fmcsa.dot.gov.

This rule is intended to implement Iowa Code sections 321.187, 321.188, 321.208, and 321.208A and 2011 Iowa Acts, Senate File 205, section 17.

ITEM 4. Adopt the following new rule 761—607.50(321):

761—607.50 (321) Self-certification of type of driving and submission of medical examiner's certificate.

607.50(1) Applicants for new, transferred, renewed or upgraded CDL.

   a. A person shall provide to the department a self-certification of type of driving if the person is applying for:
      
         (1) an initial commercial driver's license,
(2) a transfer of a commercial driver's license from a prior state of domicile to the state of
Iowa,

(3) renewal of a commercial driver's license, or

(4) a license upgrade for a commercial driver's license or an endorsement authorizing the
operation of a commercial motor vehicle not covered by the current commercial driver's license.

b. The self-certification shall be on a form or in a format, which may be electronic, as
provided by the department.

607.50(2) Enrollment of existing CDL holders. Every person that holds a commercial driver's
license on or after January 30, 2012, and up to January 30, 2014, and that has not otherwise made
a self-certification of type of driving under subrule 607.50(1) shall make to the department a self-
certification of type of driving. The self-certification may be made on or after January 30, 2012,
but must be made no later than January 30, 2014.

607.50(3) Submission of medical examiner's certificate by persons certifying to non-excepted
interstate driving. Every person that self-certifies to non-excepted interstate driving must give
the department a copy of the person's current medical examiner's certificate. A person that fails
to provide a required medical examiner's certificate shall not be allowed to proceed with an
initial issuance, transfer, renewal, or upgrade until the person gives the department a medical
examiner's certificate that complies with the requirements of this subrule, or changes the
person’s self-certification of type of driving to a type other than non-excepted interstate driving.
For persons submitting a current medical examiner's certificate, the department shall post a
medical certification status of "certified" on the person's CDLIS driver's record. A person that
self-certifies to a type of driving other than non-excepted interstate shall have no medical
certification status on the CDLIS driver’s record.
607.50(4) *Maintaining certified status.* To maintain a medical certification status of "certified," a person who self-certifies to non-excepted interstate driving must give the department a copy of each subsequently issued medical examiner's certificate valid for the person, at least 10 days before the previous medical examiner's certificate expires.

607.50(5) *CDL downgrade.* If the medical examiner's certificate or medical variance for a person self-certifying to non-excepted interstate driving expires, or the Federal Motor Carrier Safety Administration notifies the department that the person's medical variance was removed or rescinded, the department will post a medical certification status of "not certified" to the person's CDLIS driver's record and will initiate a downgrade of the person's commercial driver's license. The medical examiner's certificate of a person that fails to maintain a medical certification status of "certified" as required by subrule 607.50(4) shall be deemed to be expired on the date of expiration of the last medical examiner's certificate filed for the person as shown by the person's CDLIS driver's record. The downgrade will be initiated and completed as follows:

a. The department will give the person written notice that the person's medical certification status is "not certified" and that the commercial driver's license privilege will be removed from the person's driver's license 60 days after the date the medical examiner's certificate or medical variance expired or the medical variance was removed or rescinded, unless the person submits to the department a current medical certificate or medical variance, or self-certifies to a type of driving other than non-excepted interstate.

b. If the person submits a current medical examiner's certificate or medical variance before the end of the 60-day period, the department will post a medical certification status of "certified" on the person’s CDLIS driver's record and will terminate the downgrade of the person's commercial driver's license.
c. If the person self-certifies to a type of driving other than non-excepted interstate before the end of the 60-day period, the department will not remove the commercial driver's license privilege from the person's driver's license, and the person will have no medical certification status on the person's CDLIS driver's record.

d. If the person fails to take either action before the end of the 60-day period, the department will remove the commercial driver's license privilege from the person's driver's license and will leave the person's medical certification status as "not-certified" on the person's CDLIS driver's record.

607.50(6) CDL downgrade of existing CDL holders that fail to enroll on or before January 30, 2014. Every person subject to subrule 607.50(2) that fails to make a self-certification of type of driving or fails to give the department a copy of the person's medical examiner's certificate as required by subrule 607.50(3) on or before January 30, 2014, shall be subject to a CDL downgrade. The department will post a medical certification status of not certified to the CDLIS driver's record and will initiate a downgrade of the driver's commercial driver's license following the procedure set forth in subrule 607.50(5). In such cases the 60-day period shall begin January 31, 2014, and the person shall be required to make an initial self-certification of type of driving to terminate the CDL downgrade or avoid removal of the commercial driver's license privilege. The person's status and privilege under subrule 607.50(5) shall be determined according to the certification made or not made.

607.50(7) Establishment or re-establishment of "certified" status. A person who has no medical certification status or whose medical certification status has been posted as "not-certified" on the person's CDLIS driver's record may establish or re-establish the status as "certified" by submitting a current medical examiner's certificate or medical variance to the
department. A person that has failed to self-certify type of driving or has self-certified to a type of driving other than non-excepted interstate must also make a self-certification of type of driving to non-excepted interstate driving. The department will post a medical certification status of "certified" on the person’s CDLIS driver’s record.

607.50(8) Return of the CDL privilege. A person whose commercial driver’s license privilege has been removed from the person's driver's license under the provisions of paragraph 607.50(5)d may return the commercial driver's license privilege to the person's driver's license by either of the following methods:

a. Submitting a current medical examiner's certificate or medical variance to the department. A person that has failed to self-certify type of driving must also make an initial self-certification of type of driving to non-excepted interstate driving. The department will post a medical certification status of "certified" on the person’s CDLIS driver's record and will return the commercial driver's license privilege to the person's driver's license, provided the person otherwise remains eligible for a commercial driver's license.

b. Self-certifying to a type of driving other than non-excepted interstate. The department will return the commercial driver's license privilege to the person's driver's license, provided the person otherwise remains eligible for a commercial driver's license, and the person will have no medical certification status on the driver's CDLIS driver's record.

607.50(9) Changing type of driving  A person may change the person's self-certification of type of driving at any time. A person certifying to non-excepted interstate driving must give the department a copy of the person's current medical examiner's certificate prepared by a medical examiner, as required by subrule 607.50(3).
607.50(10) Recordkeeping. The department shall comply with the medical recordkeeping requirements set forth in 49 CFR Section 383.73.

This rule is intended to implement Iowa Code sections 321.182, 321.188 as amended by 2011 Iowa Acts, Senate File 205, sections 13 and 14, and 2011 Iowa Acts, Senate File 205, section 17.
The Iowa Department of Transportation (DOT) was awarded $5 million of FY 2011 State of Good Repair funding for the replacement of transit vehicles that are over their useful life. Allocation of funding follows the process established in the Public Transit Equipment and Facilities Management System (PTMS), which was developed cooperatively between the Iowa DOT and the Iowa Public Transit Association (IPTA). The PTMS process is endorsed by IPTA, adopted by the Iowa DOT and approved by the Commission.

A total of 62 vehicles, belonging to 18 transit agencies, were selected for replacement using the technical ranking process under the PTMS.

A listing of projects selected is attached.

PROPOSAL/ACTION RECOMMENDATION:

It is recommended the Commission approve the FY 2011 State of Good Repair funding recommendation as attached.
Ryan Ward, Office of Public Transit, said last month Michelle Mc Enany, Office of Public Transit, presented the 2011 State of Good Repair grant award in which the Iowa DOT was awarded $5 million from the Federal Transit Administration (FTA). This $5 million will be used to replace 62 transit vehicles which are beyond their FTA useful life. He requested Commission approval to accept this funding.

Commission Chair Reasner noted the Commission reviewed this recommendation at our November meeting.

Commissioner Wiley moved, Commissioner Cleaveland seconded the Commission approve the FY 2011 State of Good Repair funding recommendation as attached. All voted aye.
Approval is requested for the CY 2012 Intercity Bus grant program. Specific project recommendations for the Intercity Bus grant program are listed on the attachment.

It is recommended the Commission approve the CY 2012 Intercity Bus grant program funding recommendation as attached.
Ryan Ward, Office of Public Transit, said last month he presented the Intercity Bus Grant applications. The Iowa DOT received 16 applications totaling $1.658 million. Staff recommends all 16 projects be funded at 100 percent, and he requested Commission approval of the funding recommendation.

Commissioner Yanney moved, Commission Miles seconded the Commission approve the DY 2012 Intercity Bus grant program funding recommendation as attached. All voted aye.
DISCUSSION/BACKGROUND:

In 1987 the Iowa Legislature provided that one-half percent of the Road Use Tax Fund be used for traffic safety improvements or studies on public roads under city, county or state jurisdiction.

The program provides three funding areas for candidate safety projects:

1. Traffic control devices;
2. Site-specific; and
3. Research studies and safety initiatives.

The candidate projects and the recommendations from Department staff and the state/county/city safety program advisory committee have been presented to the Commission for review and approval.

PROPOSAL/ACTION RECOMMENDATION:

It is recommended the Commission approve the FY 2013 Traffic Safety Improvement Program.
Jeremey Vortherms, Office of Traffic and Safety, said he presented the recommended Traffic Safety Improvement Program at the Commission’s November workshop, and he requested Commission approval of the program.

Commissioner Yanney moved, Commissioner Wiley seconded the Commission approve the FY 2012 Traffic Safety Improvement Program. All voted aye.
DISCUSSION/BACKGROUND:

The city of Coralville submitted a RISE Immediate Opportunity application requesting a grant to assist in construction of an approximately 425-foot extension of University Parkway east of Coral Ridge Avenue located on the northwest side of town. This project is anticipated to be completed by June 2012.

This improvement is necessary to provide access to the proposed site for MediRevv Inc., formerly known as Precision Revenue Strategies, a healthcare revenue cycle management company specializing in helping hospitals, health systems, academic medical centers and physician groups improve their cash flow and grow their revenue. This company conforms to the legislative requirements of the RISE program.

The roadway will support:

- The creation of 53 new jobs at this facility.
- $2,767,218 in associated capital investment.

The RISE cost per job assisted will be $2,919.51, and there will be a total capital investment of $17.88 for each RISE dollar requested.

PROPOSAL/ACTION RECOMMENDATION:

It is recommended the Commission, based on the capital investment and job creation commitments, award a RISE grant of $154,734 or up to 80 percent of the total RISE-eligible project cost, whichever is less, from the city share of the RISE Fund.
Craig Markley, Office of Systems Planning, presented a RISE immediate opportunity application from the city of Coralville to assist in constructing an approximately 425 ft. extension of University Parkway east of Coral Ridge Avenue on the northwest side of town. This improvement is necessary to provide access to a proposed site for MediRevv Inc., a health care revenue cycle management company that specializes in helping hospitals, health systems, academic medical centers and physician groups improve their cash flow and grow their revenue.

Mr. Markley said the proposed improvement will result in the creation of 53 new jobs within three years along with an associated capital investment of more than $2.7 million. The average starting wage of the positions to be created is $18.71 an hour which is 116 percent of the Johnson county average wage rate of $16.09 per hour. The recommended RISE grant is $154,734; local participation is 20 percent or $38,683 with a total cost of $193,417. RISE cost per job assisted is $2,919.51, and the total capital investment per RISE dollar is $17.88. He introduced Kelly Hayworth, Coralville City Administrator.

Mr. Hayworth introduced Mayor Jim Fausett and Mark Nolte, Director of Business Development, Iowa City Area Development Group. He expressed appreciation for the Commission’s support of the RISE program. The University of Iowa Research Park is a joint partnership between the University of Iowa and city of Coralville. They received a RISE grant in 1989 to put the initial infrastructure into the park which involved 4,000 feet of pavement and a total project cost of $937,000, of which, $749,000 were RISE dollars. Three types of jobs have been created in the research park. Private companies have created 890 jobs. About three years ago the city of Coralville and University of Iowa jointly developed the BioVentures Center in the research park for start-up bio companies. There are 73 jobs in the BioVentures Center representing 15 companies. Also, University-related research is being done in the park which created 177 jobs. With the Commission’s original RISE investment in the University of Iowa research park, 1,140 jobs have been created with an average salary of $62,612. He noted the University of Iowa does an extensive survey each year of those companies and the employees come from 161 cities and 45 counties in the state.

Mr. Nolte said MediRevv is an Iowa born and raised company. They have carved out a niche in a competitive market for serving University-related hospitals in their revenue cycling. MediRevv landed a large contract two weeks ago with a hospital in Texas. They have outgrown their space. They are committed to 53 jobs with this project but the projections are another 100 employees will be added.

In regard to economic development, Mr. Nolte said Iowa’s competitive advantage is our people and infrastructure. This roadway will open three more lots that will then be certified as shovel ready. He noted there is a website called “shovel ready Iowa” that
shows the University of Iowa Research park as the second certified shovel ready site in the state. He said we don’t throw a lot of cash and incentives at companies but what we have are long-term benefits with our infrastructure, schools, and people. This is the type of project that will help us as the economic development group and as a state create the jobs that the Governor has projected.

Mr. Markley reviewed staff’s recommendation.

Commissioner Rose moved, Commissioner Blouin seconded the Commission, based on the capital investment and job creation commitments, award a RISE grant of $154,734 or up to 80 percent of the total RISE-eligible project cost, whichever is less, from the city share of the RISE fund. All voted aye.
A proposed amendment to the highway section of the 2012-2016 Iowa Transportation Improvement Program (Program) will be presented. The proposed amendment will allow the Iowa Department of Transportation (DOT) to advance one bridge and six interstate stewardship projects to fiscal year (FY) 2012 and update two other projects in FY 2012 with cost changes. In addition, 19 new projects involving investments in interstate and non-interstate pavement preservation will be added to the program in FY 2012, with construction on these projects expected to begin in 2012.

Changes to the Program were possible because of additional funding made available after the Program was approved in June. The additional funding is available due to favorable construction project lettings, Iowa DOT budget savings, and receipt of federal funding that exceeded forecast amounts.

A list of project changes recommended for the highway section of the Program is attached.

It is recommended the Commission approve changes to the 2012-2016 Iowa Transportation Improvement Program as listed.
Jon Ranney, Office of Program Management, presented a proposed amendment to the highway section of the 2012-2016 Iowa Transportation Improvement Program. The proposed amendment will allow the advancement of one bridge and six interstate stewardship projects to fiscal year 2012 and update costs for two other projects in 2012. In addition, 19 new projects involving investments in interstate and non-interstate pavement preservation will be added to the 2012 program. Work on these projects is expected to begin next construction season. He noted changes to the Program are possible because of additional funding made available after the Program was approved in June. He requested Commission approval of the proposed amendment as attached to the commission order.

Commission Chair Reasner said the Commission previously reviewed this during a telephone conference call on December 6.

Commissioner Cleaveland moved, Commissioner Miles seconded the Commission approve changes to the 2012-2016 Iowa Transportation Improvement Program as listed. All voted aye.

Meeting ended at 1:45 p.m.