

Public Interest Findings

1C-7

Design Manual Chapter 1 General Information

Originally Issued: 07-18-13 Revised: 10-31-23

This section explains the conditions which initiate the need for a Public Interest Finding (PIF). Several Federal rules (see <u>23 CFR</u> <u>635.106</u>, <u>23 CFR 635B</u>, <u>23 CFR.635.309</u>, <u>23 CFR 635.407</u>, <u>23</u> <u>CFR 635.411</u>), along with State policies and procedures, apply to construction contract lettings conducted by the Department. A limited number of these rules, policies, and procedures contain provisions that permit them to be waived under certain circumstances. Exceptions are approved if it is in the public's best interest.

Purpose

A Public Interest Finding (PIF) is documentation of the justification to allow exceptions to Federal or State rules and/or procedures. Typical conditions that initiate the need for a PIF include, but are not limited to, the following:

Quick Tips:

- For Federal oversight projects, PIFs require FHWA approval.
- The Department handles PIF approvals for local public agency projects and projects with State oversight.
- Form <u>517030</u> is used for submitting approval for a PIF.
- 1. All of the applicable project clearances will not be obtained by the 1st Tuesday, 2 months prior to letting.
- The Contracting Authority will directly perform some or all of the construction work using its own labor, materials, or equipment <u>and</u> the Contracting Authority will request Federal-aid participation in this work.
- 3. The contract documents make publicly owned equipment available for use by the contractor.
- 4. The contract documents for a Federal-aid contract specify that the contractor incorporate into the project materials or products provided by the Contracting Authority.
- The contract documents for a Federal-aid contract specify a mandatory disposal area for salvaged materials, such as millings retained by the owner. Refer to Section <u>1G-6</u> for more information regarding salvaged materials.

If the contract documents for a Federal-aid contract specify a mandatory borrow area, treat this as if Contracting Authority provided materials are being incorporated and note the materials are coming from a mandatory borrow area.

If the contract documents direct the contractor to provide a single proprietary product, the Department handles PIF approval. Federal approval is not required.

Approvals

Submit Form <u>517030</u> for approval. For Federal oversight projects, this request is sent to FHWA for approval. For projects with State oversight, the Department handles Federal approval. Submit the written request to the Bureau Director or ADE responsible for the design.

The Department approves PIFs for local public agency projects. Refer to Local Systems Bureau <u>I.M.</u> <u>3.720</u>.

When the project plans and specifications are turned in to the Contracts and Specifications Bureau, the Administering Bureau is to include a copy of the PIF request and the Administering Bureau's written approval. If the need for a PIF is discovered after the plans are turned-in, submit the approved PIF documentation to the Contracts and Specifications Bureau as soon as possible, but no later than the 1st Tuesday, 1 month prior to letting. Otherwise, the project letting will be delayed.

Note: Once a statewide PIF has been approved, it does not need to be submitted with subsequent projects. The Contracts and Specifications Bureau keeps a list of approved statewide PIFs.

Filling in Form <u>517030</u>

Form 517030 is a fillable document. Click on the condition(s) that applies and supply required information.

- For Statewide PIFs, type in "Statewide" for Project Number.
- Fill in Project Description with the project description found in MasterWorks.
- If a proprietary product is being specified, a catalog cut can be included.
- If a mandatory borrow area is being specified, include this in #5 of the form. Use "other" and note a mandatory borrow area is being used. Provide an explanation of the need for the borrow.

Chronology of Changes to Design Manual Section:

001C-007 Public Interest Findings

10/31/2023	Revised PIFs for proprietary products no longer need FHWA approval. Updated I.M. 5.060 Public Interest Findings to the updated I.M. 3.720, hyperlink updated as well.
10/31/2022	Revised Redirected link to Local Systems Bureau's IM for PIFs. Revised PSS reference to MasterWorks.
6/25/2019	Revised Updated hyperlinks. Updated header logo and text.
5/15/2014	NEW Changed title. Eliminated certifications from section. Added Quick Tips and reference to Form 517030, Public Interest Finding Request. Clarified Federal oversight projects require FHWA approval and the Department handles Federal approval for projects with State oversight. For State oversight projects, PIF requests are submitted to the Office Director or ADE responsible for the design.
7/18/2013	Revised Provide guidance for submitting a Public Interest Finding or Certification.