



Federal-aid Funds Management Update

Local Systems Spring Meeting 2020

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Federal-Aid – Fund Management Requirements

Project End Dates (PED) [I.M. 1.200](#)

- Federal regulations require Iowa DOT to establish a period of performance when requesting FHWA authorization for Fed-Aid.
 - Beginning = effective FHWA authorization date
 - End = Project End Date (PED)
- A PED is established for all Federal-aid authorizations.
 - Each phase of work may have a different PED.
 - Overall PED for closing out a project = latest PED.
 - Doc Express – Agreement and Resolution Drawer
- Only costs incurred between the authorization date and PED are eligible for reimbursement.



Federal-Aid – Fund Management Requirements

Project End Dates (PED) [I.M. 1.200](#)

- If needed, ask for an extension at least 8 weeks before PED.
 - Minimizes or eliminates a potential ineligibility period.
 - Submit requests in writing to your Regional Field Engineer or Administering Bureau.
 - Justification must be beyond the control of the LPA or based on changes to project authorization in FMIS.
 - If all Federal funds have been expended, but the project is ongoing, a PED extension should be requested since close-out of the project will not be possible until all work is complete.
- See [I.M. 1.200 – Attachment A](#) for how to estimate PEDs.



Federal-Aid – Fund Management Requirements

Inactive Obligations [I.M. 1.200](#)

- Federal funds become “obligated” when authorized by FHWA.
- A reimbursement must be processed annually to remain in compliance with Federal regulations.
 - Regularly submit reimbursement requests
 - Only limited circumstances where allowed to remain inactive.
 - Remaining Federal funds may be pulled at any time after 12 months of inactivity.



Federal-Aid – Fund Management Requirements

Inactive Obligations [I.M. 1.200](#)

- FHWA & Iowa DOT review Federal-aid projects quarterly.
 - As of 3/30/2020, Iowa had over 1,300 Federal-aid projects.
 - Fewer local projects after Federal-aid Swap, but not going away.
- If regional field staff or the administering bureau reach out, please respond accordingly so funding is not jeopardized.
 - Timely responses and submittals
 - May include processing partial mobilization or retainage release payments
- See [I.M. 1.200](#) for additional details.



Iowa DOT Civil Rights Bureau

- Title VI ([I.M. 1.070 Title VI & Nondiscrimination Requirements](#))
 - “Any local government that receives any Federal financial assistance, whether directly from a Federal agency or indirectly through a State agency, is considered a recipient, and as a result must comply with Title VI and the related nondiscrimination requirements.”
 - Title VI Plans (Pop. > 250,000), Title VI Agreements (Pop. < 250,000)
 - Title VI Updates
 - Within 30 days of a change in head of the agency or every 5 years, whichever is less.
 - When substantial organizational or policy changes that affect how the LPA complies with the Title VI requirements are made.



Civil Rights Bureau Contacts

- <https://iowadot.gov/civilrights/Contact-us/staff-directory>
- **Districts 1, 3, 5**
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Iowa DOT Civil Rights Bureau

- Disadvantaged Business Enterprise Program (DBE) Certifications
 - DBE Directory: <https://secure.iowadot.gov/DBE/Home/Index/>
- Civil Rights and Affirmative Action (EEO/AA)
- ADA Compliance
- Public Involvement
- Various Resources including an Interpreters/Translators list
- For additional information visit their website at www.iowadot.gov/civilrights





Questions?

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