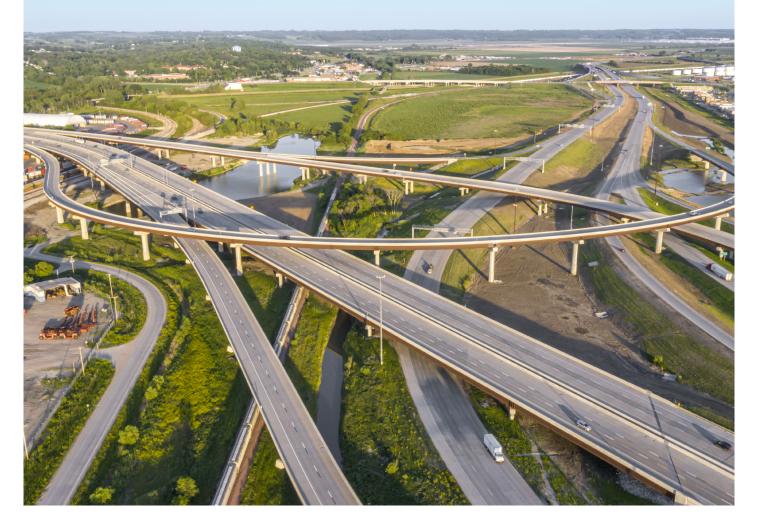
IOWA TRANSPORTATION COMMISSION



A GUIDE TO YOUR ROLE AS AN IOWA TRANSPORTATION COMMISSIONER



800 LINCOLN WAY AMES, IA 50010

COMMISSION APPROVED - AUGUST 13, 2024

WELCOME FROM THE IOWA DOT DIRECTOR SCOTT MARLER

Dear Commissioners,

Thank you for your service to the State of Iowa as member of the Iowa Transportation Commission, and if you are a new Commission member, welcome! As a member of the Iowa Transportation Commission, you have the opportunity to make a significant, positive impact on the personal and economic vitality of the citizens and businesses of our state, by leading and guiding investments in our highway infrastructure and all modes of transportation, including air, rail, and transit. At the Iowa DOT we have a mission of mobility – a mission of getting you there safely, efficiently, and conveniently – and you are an important partner in our statewide mission.

The information that follows is intended to be a resource to you as you perform your work as a member of the Iowa Transportation Commission, and in that sense I hope you will see it as the beginning of a conversation and not the end. Our work together will require many conversations and much information, and we strive to make the relationship open, honest, and productive. Please always share your questions and let us know what you need and what's working and not working for you. Your engagement and ability to be effective in your work is important to us and important to the citizens of Iowa.

Thank you again for your commitment and service!

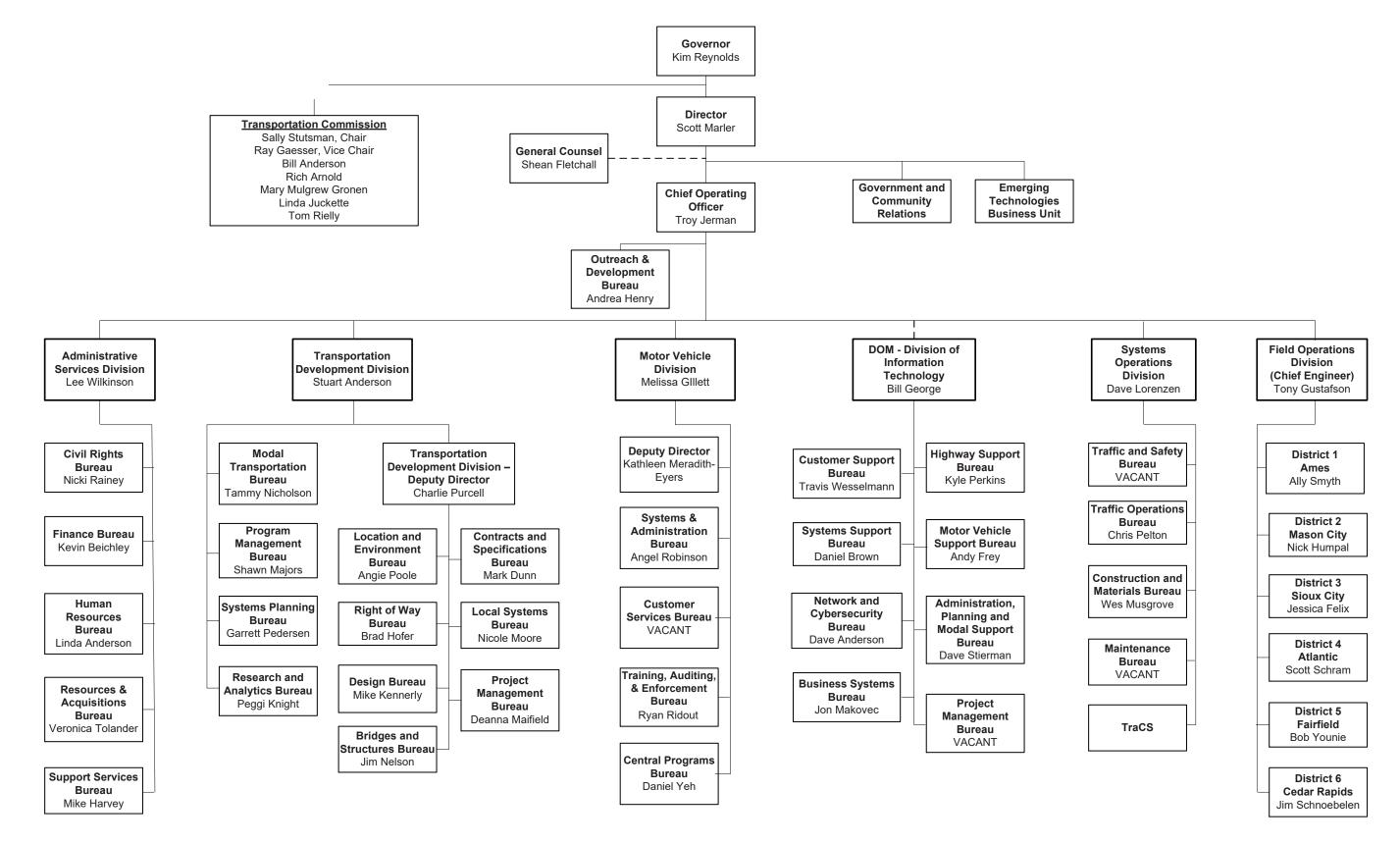
Best regards,

Sot Mark

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IOWA DOT ORGANIZATION CHART



COMMISSIONER BIOGRAPHIES



Sally Stutsman, chair

Email: *DOT.Commissioners@iowadot.us* Term: July 1, 2021 to June 30, 2025

Stutsman, a Democrat, was born and raised in Fort Dodge, Iowa where she graduated from Fort Dodge High School and Iowa Central Community College. She transferred to Iowa State University where she earned a BS degree in Sociology. While at Iowa State she met her husband, Roger, who also graduated from Iowa State with a degree in Farm Operations. After they were married, they moved to a farm in southern Johnson County, west of Hills, Iowa where they still live and farm. Sally and her husband are currently retired.

Sally worked as a social worker for the Iowa Department of Human Service as an adoption investigator. After leaving the Department she became Executive Director of Johnson County Community Coordinated Child Care and later became Coordinator of the Volunteer Action Center of Johnson County. In 1994 Stutsman successfully ran for the Johnson County Board of Supervisors. She served on the Board of Supervisors for five terms until being elected to the Iowa House of Representatives in November of 2014. She served two terms in the Iowa Legislature, retiring at the end of her second term in December of 2018. While in the Iowa House Sally served on the transportation, agriculture, appropriations, state government and health and human services committees. She was a founding member of the House Democratic Rural Caucus. Sally served on the Air Service Retention and Expansion Committee as one of the legislative representatives. Sally was a governor appointee to the Council of Human Services

Sally has been involved in a number of community organizations including Johnson County Decategorization Project, Johnson County Community Foundation, Housing Trust Fund of Johnson County, Board member and chair of the Oaknoll Retirement Center, Iowa City Area Chamber Community Leadership Program where she served as Chair of the Ag Session, CARET (Council for Agricultural Research, Extension and Teaching) representative for Iowa State University, member of Sharon Center United Methodist Church, Old Capitol Kiwanis, Chapter E-P.E.O. where she served as president, treasurer of Friends of Johnson County Conservation, treasurer of Octagon Barn Questers and Board member of the Johnson County League of Women Voters.

Sally and her family were named as Iowa City Area Chamber of Commerce Farm Family of the Year. She was named Johnson County Child Advocate of the Year, Corridor Business Journal Women of Influence, and Ovation honoree of the Iowa Women's Foundation.

The Stutsman's have one son, Michael, who is deceased and a daughter-in-law and granddaughter who live next door on the family farm.



Ray Gaesser, vice chair

Email: DOT.Commissioners@iowadot.us Term: July 1, 2022, to June 30, 2026

Ray, a Republican, has been a farmer for over 51 years, his family owns and operates Gaesser farms in Corning, a 5400 acre operation made up of soybean and corn rotation.

He is a conservationist and believes that caring for the land is a priority. Gaesser farms has been 100% no-till since 1992 and most of the land is fertilized with manure from neighboring livestock and poultry farms. His family's operation continues to test and implement the latest technology, innovations, and practices in equipment and seeds to grow more while preserving and enhancing our resources.

Ray has advocated locally, nationally and globally for Iowa and US Agriculture as president and leader of the Iowa Soybean Association, American Soybean Association, North American Climate Smart Agriculture Alliance, Solutions from the Land, and many other boards and organizations.

He currently serves as Co-chair of the Iowa Conservation Infrastructure Initiative advancing environmental practices toward meeting the Iowa Nutrient Reduction Strategy. Ray's awards include: Iowa Master Farmer 2012, Adams County and Iowa Conservation Awards, Lenox Iowa Good Citizen Award,

and American Soybean Distinguished Leader 2018.



Bill Anderson

Email: DOT.Commissioners@iowadot.us Term: July 1, 2024 to June 30, 2028

Bill, a Republican, serves as the Executive Director of Cherokee Area Economic Development Corporation.

Bill and his wife, Angie, were married in 2007 and have a son and two daughters. They own and operate Anderson Professional Services, an accounting business with locations in Cherokee and Sioux City.

Bill was born and raised in Sioux City. He is a graduate of Sioux City Public Schools and received an associate's degree from Northeast Community College. He enlisted in the Iowa

Army National Guard in 1994 and was Honorably Discharged in 2002. He served as a congressional aide in Iowa for 18 years. Bill was elected to the Iowa Senate in 2010 representing District 27 (Cherokee, Plymouth and Woodbury), and following reapportionment, District 3 (Plymouth and Woodbury). During his time in the legislature, he served on the Transportation, Commerce, Ways and Means committees and the Transportation, Infrastructure and Capitals appropriations subcommittee.

The Anderson's are active members of Immaculate Conception Catholic Church in Cherokee. Bill is a member of the Knights of Columbus, Rotary, Kiwanis and American Legion. He serves on the board of directors of Mid-Sioux Opportunity. He previously served on the Judicial Nominating Commission and Plains Area Mental Health board.



Richard Arnold

DOT.Commissioners@iowadot.us Term: July 1, 2023 to June 30, 2027

Richard Arnold, a Republican, served eighteen years in the lowa legislature retiring in 2012. He was assigned to both the transportation and natural resource committees for 18 years and chaired both committees for a number of years.

Richard grew up on a farm near Russell, Iowa, graduating from Russell High School and Iowa State University with a degree in animal science. Richard served six years, two of those years as chair on the Iowa Racing and Gaming Commission. He has also been active in local government as well as state government. He served on the Russell City Council and also two terms on the

Lucas County Board of Supervisors.

Today Richard continues to farm the family farm, and also drives part-time for Hy-Vee Inc. He has traveled to nearly all the Hy-Vee stores in Iowa, by way of both interstate 80 and 35 and most of our state highways.

Richard is married to Cheryl, a retired Hy-Vee distribution manager. They have been blessed with five children and 11 grandchildren.



Linda Juckette

Email: DOT.Commissioners@iowadot.us Term: July 1, 2022 to June 30, 2026

Linda, a Republican, is currently President & CEO of Capstone Management. She is also President & owner of Prairie Valley Farms, a successful thoroughbred breeding and racing operation found in Cumming, Iowa. Raised in Waukee, Linda graduated from Waukee High School.

In 1983 she married her husband, Tom Juckette. She then began working on the family farms in Polk and Madison Counties. Linda has also raised Dutch sport horses, competing at the highest levels and traveling to the Netherlands to purchase her horses for competition here in

the US. At this time, she also raised organic tofu soybeans, commonly called clear hilums. These were sold to the Japanese market for many years.

Her husband Tom, part owner of Juckette Management Services and Witt & Juckette Construction Company, was building and managing long-term care facilities throughout Iowa, Nebraska, Missouri, and Florida. Linda's passion for the elderly led her to open Capstone Management to continue to manage the homes in Iowa after Tom's passing.

Linda has been active in the Iowa Healthcare Association serving on the legislative committee advocating for senior care in Iowa and Washington, D.C. She has also been active in the Des Moines Community Playhouse and a past worship leader at Lutheran Church of Hope in West Des Moines for over 20 years. Linda and her late husband Tom have one son together. Cole and his wife Nikki live in Scotland. After Cole received his Master's degree from the University of Nebraska in Anthropology, he continued his studies working as an Archeologist with the University of Glasgow. He is finishing his PhD there specializing in Digital Mapping and Topography.



Mary Mulgrew Gronen

Email: DOT.Commissioners@iowadot.us Term: July 1, 2024 to June 30, 2028

Mary, a Democrat, is Vice President of Gronen, a Dubuque real estate development company (1999 – present), which also includes both construction management and property management divisions.

Mary co-founded and co-owned Travel Headquarters of Dubuque in 1984, a full-service travel agency. During this time, Mary served a six year term as a member of the Dubuque Airport Commission.

She has served on the Four Mounds Foundation Board of Directors since 1995. Four Mounds is a non-profit organization whose mission is to preserve the natural, architectural and historical resources of the Four Mounds estate, educate with hands-on opportunities for youth and community, and serve through leadership, stewardship, and innovative partnerships.

Mary is a founding member of Smart Growth Development created in 2009. Smart Growth is an lowa bi-partisan non-profit coalition which has advocated for the continuation, growth, and accessibility of financing programs that make historic rehabilitation projects possible. The diverse membership includes developers, municipalities, Main Street programs, Chambers of Commerce, architects, contractors, accountants, attorneys, preservation organizations, and economic development groups.

She is also a founding member of Heritage Works Dubuque (2015) and continues to serve on its Board of Directors. Heritage Works is a non-profit organization whose vision is to develop an authentic, vibrant, and culturally rich community through Education & Advocacy, Historic Tax Credit Partnerships, and Consulting Services.

Mary is a founding member of Mississippi Driftless Regional Alliance and Dubuque Forward (2021) and serves as an Officer on the Board of Directors. This group of private-sector and non-profit leaders serve as catalysts to move projects forward, and whose mission it is to make Dubuque a community of choice where all people can thrive.

She and her husband, John, have been married since 1991, and have worked side by side as partners in Gronen since 1999. They have two sons and one grandchild.



Tom Rielly Email:

DOT.Commissioners@iowadot.us Term: July 1, 2021 to June 30, 2025

Rielly, a Democrat, owns Rielly Insurance & Financial Services in Oskaloosa, and has been active in insurance and financial services since 1989.

Tom is a lifelong resident of Oskaloosa and Mahaska County. He graduated from Oskaloosa Senior High School in 1985 and from Loras College in 1989 with a BA in Finance.

Tom is currently active in several civic organizations including: Knights of Columbus, BPOE Oskaloosa Elks Lodge #340, Sons of the American Legion, and the Oskaloosa Area Chamber

and Development Group. He is also a former Junior Achievement instructor, Jaycees president, Rotary member and YMCA board member.

Tom served as the mayor of Oskaloosa for four terms, from 1997-2004 and was elected to the Iowa State Senate in 2004, where he served as a State Senator for two terms from 2005-2012. While in the Senate, he was Chairman of the Transportation Committee from 2007-2012. He also held the Vice Chair position on the Commerce and Agriculture committees as well as serving on the Economic Growth, and Local Government committees.

He is a graduate of the Iowa Association of Business & Industry's Leadership Iowa Program, Class 1999-2000; a graduate of the Emerging Political Leaders Program, at the Darden School of Business; Univ. of Virginia Class of 2005; and a two-time Association of General Contractors Legislator of the Year.

Tom met his wife Mary at Loras College, in Dubuque and they married in 1990. In 1993 they moved back to Oskaloosa and raised their two daughters Katie and Shawn, who now live and work in Des Moines, and enjoy life with their three Boston Terriers, Bean, Lucy, & Maize.

OVERVIEW of the Iowa dot



CONTACTS AND ORGANIZATION

DIRECTOR

Scott Marler, 515-239-1111 Fax: 515-239-1120 800 Lincoln Way, Ames, IA 50010

EXECUTIVE OFFICER TO THE DIRECTOR

Jill Struve, 515-239-1111 800 Lincoln Way, Ames, IA 50010

CHIEF OPERATING OFFICER

Troy Jerman, 515-239-1601 800 Lincoln Way, Ames, IA 50010

Government & Community Relations Mikel Derby......515-239-1111

Outreach & Development Andrea Henry......515-239-1730

COMMISSION ASSISTANT

Cindy Dorhout, 515-239-1067 800 Lincoln Way, Ames, IA 50010

GENERAL COUNSEL

Shean Fletchall, 515-239-1509 800 Lincoln Way, Ames, IA 50010

IOWA TRANSPORTATION COMMISSIONERS

Sally Stutsman, chair DOT.Commissioners@iowadot.us

Ray Gaesser, vice chair DOT.Commissioners@iowadot.us Bill Anderson DOT.Commissioners@iowadot.us

DOT.Commissioners@iowadot.us Linda Juckette DOT.Commissioners@iowadot.us

Richard Arnold

Mary Mulgrew Gronen DOT.Commissioners@iowadot.us

Tom Rielly DOT.Commissioners@iowadot.us

CONTACTS AND ORGANIZATION IOWA DOT DIVISIONS

ADMINISTRATIVE SERVICES DIVISION

Lee A. Wilkinson, Division Director, 515-239-1340 Fax: 515-239-1120 800 Lincoln Way, Ames, IA 50010

5	Nikita (Nicki) Rainey 515-233-7970
Finance	Kevin Beichley 515-239-1474
Human Resources	Linda Anderson 515-239-1399
Resources & Acquisitions	Veronica Tolander 515-239-1578
Support Services	Mike Harvey515-239-1327

INFORMATION TECHNOLOGY DIVISION

Bill George, Division Director, 515-239-1284 800 Lincoln Way, Ames, IA 50010

Administration, Planning,	
& Modal Support	Dave Stierman 515-239-1110
Business Systems	Jon Makovec 515-239-1318
Customer Support	Travis Wesselmann 515-233-7783
Highway Support	Kyle Perkins 515-239-1363
Motor Vehicle Support	Andy Frey
Project Management	Vacant
Network and Cyber security	David Anderson 515-239-1543
Systems Support	Daniel Brown 515-239-1911

MOTOR VEHICLE DIVISION (MVD)

Melissa Gillett, Director 515-237-3121

Mailing address: P.O. Box 9204, Des Moines, IA 50306-9204 Physical address: 6310 SE Convenience Blvd., Ankeny, IA 50021

Kathleen Meradith-Eyers, Deputy Director 515-231-1230

Central Programs	Daniel Yeh	515-237-3325
Customer Services	Vacant	515-237-3131
Training, Auditing, &		
Enforcement Bureau	Ryan Ridout	515-237-3260
Systems & Administration	Angel Robinson	515-237-3040

Motor Vehicle Information Center (Driver's License Information) 515-244-8725 or 515-244-9124 TDD number: 515-237-3192 Mon.-Fri, 8 a.m.- 4:30 p.m. Email: Driver.Services@iowadot.us

Training, Auditing, & Enforcement Bureau Mon-Fri, 8 a.m.- 3:30 p.m. Email: Invbureau@lowadot.us

Vehicle Services (Titling, Plates, Registration) PO Box 9278, Des Moines, IA 50306-9278 515-237-3110; Fax: 515-237-3056 Email: vscusto@iowadot.us

Motor Carrier Services (Oversize/Overweight, Permits, IRP, IFTA, Travel Authority, Prorate Titles) PO Box 10382, Des Moines IA 50306-0382

515-237-3268, Fax 515-237-3225, Email: omcs@iowadot.us Permits: 515-237-3264, Fax: 515-237-3257 Permits email: oversizepermits@iowadot.us

SYSTEMS OPERATIONS DIVISION

Dave Lorenzen, Division Director, 515-239-1124

Construction & Materials.	Wes Musgrove, P.E.	515-239-1352
Maintenance	Vacant	515-239-1971
TraCS	Josh Halterman	515-237-3042
Traffic & Safety	Vacant	515-239-1557
Traffic Operations	Chris Pelton	515-239-1385

TRANSPORTATION DEVELOPMENT DIVISION

Stuart Anderson, P.E., Division Director, 515-239-1661 800 Lincoln Way, Ames, IA 50010

Modal TransportationTamara Nicholson, P.E 515-239-1052				
Program Management	Shawn Majors	515-239-1288		
Systems Planning	Garrett Pedersen	515-239-1027		
Research and Analytics .	Peggi Knight, P.E	515-239-1530		

Charlie Purcell, P.E. (Project Development) Deputy Division Director,

515-239-1661, 800 Lincoln Way, Ames, IA 50010

Bridges & StructuresJim Nelson, P.E
Contracts & SpecificationsMark Dunn, P.E
DesignMichael J. Kennerly, P.E.515-239-1783
Local SystemsNicole Moore, P.E
Location & EnvironmentAngela Poole
Project ManagementDeanna Maifield, P.E 515-239-1817
Right of Way515-239-1216

CONTACTS AND ORGANIZATION

FIELD OPERATIONS DIVISION

Tony Gustafson, P.E. (Chief Engineer), 515-239-1430 800 Lincoln Way, Ames, IA 50010

DISTRICT 1

1020 S. Fourth St., Ames 50	010	
515-239-1635 800-899-0	623 Fax: 515-239-1472	
District 1 Engineer	Allison Smyth, P.E	515-239-1039
Assistant District Engineer	Jeremey Vortherms P.E.	515-233-7851
Construction Engineer	Scott Nixon, P.E	515-239-1542
Field Services Coordinator	Shelby Ebel	
Maintenance Manager	Bob Ellis	
Materials Engineer	Mike Lauritsen, P.E	
Traffic Operations Engineer	Andy Swisher	515-239-1926

DISTRICT 2

428 43rd St. SW, Mason City 50401 641 422 0457

641-423-7584 800-477-4368 Fax: 641-422-9453					
District 2 Engineer	Nick Humpal, P.E	641-422-9446			
Assistant District Engineer	Mary Kelly, P.E.	641-422-9442			
Construction Engineer	Roy Gelhaus, P.E	641-422-9448			
Field Services Coordinator	Pete Hjelmstad				
Maintenance Manager	Barry Thede	641-422-9475			
Materials Engineer	Robert Welper, P.E	641-422-9421			

DISTRICT 3

2800 Gordon Drive, P.O. Box 987, Sioux City 51102-0987 712-276-1451 800-284-4368 Fax: 712-276-2822

District 3 Engineer	Jessica Felix, P.E712-276-1451
Assistant District Engineer	
Construction Engineer	
Field Services Coordinator	
Maintenance Manager	Doug Williams712-274-5825

DISTRICT 4

2210 E. Seventh St., Atlantic 50022 712-323-6125 800-289-4368 Fax: 712-243-6788

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District 4 Engineer	Scott Schram, P.E	5
Assistant District Engine		2
Construction Engineer		3
Field Services Coordinat	Scott Suhr	7
Maintenance Manager	Jason Sallach	Э
Materials Engineer	Timothy Hensley, P.E	Э
	rAustin Yates, P.E712-388-6893	

DISTRICT 5

205 E. 227th Street, Fairfield, IA 52556 641-472-4171 800-766-4368 Fax: 641-472-3622

District 5 Engineer	Bob Younie, P.E.	515-290-2869
Assistant District Engineer	Steve McElmeel, P.E	
Construction Engineer	Darrick Bielser, P.E.	641-469-4045
Field Services Coordinator	Hector Torres-Cacho	641-469-4007
Maintenance Manager	Bob Porter	641-469-4008
Materials Engineer	Allen Karimpour	641-469-4018
Traffic Technician	Brad Lauderman	641-469-4011

DISTRICT 6

5455 Kirkwood Blvd. SW, Cedar Rapids 52404 319-364-0235 800-866-4368 Fax: 319-364-9614

212-204-0522 900-900	FJ00 Fax. J17-J04-9014	
District 6 Engineer	Jim Schnoebelen, P.E	
Assistant District Engineer	Jesse Tibodeau, P.E	
Construction Engineer	Danielle Alvarez, P.E	
Field Services Coordinator	Catherine Cutler	
I-74 Project Manager	Ahmad Abu Afifeh, P.E	
Maintenance Manager	Mitch Wood	
Materials Engineer	Shane Neuhaus, P.E	













CONTACTS AND ORGANIZATION

FIELD INFORMATION

ACCESS AND UTILITY PERMITS

District 1	Brian Whaley 57073 U.S. 30 E. Ames 50010 515-663-6363	Deanna Smyth-Peters 2300 SE 17 th St. Grimes 50111 515-986-5460 800-251-2702	Jeff Cunningham 2300 SE 17 th St. Grimes 50111 515-986-5461 800-251-2702		District 1	Eric Lack 2300 SE 17 th St. Grimes 50111 515-986-5459 800-251-2702
District 2	Michael Frank 428 43rd St. SW Mason City 50401 641-422-9476 866-452-8502	Nicholas Sorenson 2224 225th St. New Hampton, IA 50659 641-394-3161 800-373-7343			District 2	Joel Monroe 2224 225 th St. New Hampton 50659 641-394-5634 866-849-0327
District 3	Kelly Mulvihill 2800 Gordon Dr. PO. Box 987 Sioux City 51102 712-274-5828 800-284-4368	Wayne Brensel 2435 Airport St. PO. Box 70 Denison 51442 712-263-8488 800-683-8900	Alex Wiertzema 1204 N. Second Ave. E. PO. Box 430 Rock Rapids 51246 712-472-2315 800-373-7347	Michael Thayer 1303 W. Milwaukee P.O. Box 1166 Storm Lake 50588 712-732-4549 800-373-7348	District 3	Mark Sadler 4623 N. U.S. Hwy 75 Sioux City 51108 712-239-2856 800-373-7348
District 4	Nick Mocha 300 W. Broadway, Ste 12 Council Bluffs 51503 712-388-6898 800-579-2718	Joe Cihacek 300 W. Broadway, Suite 12 Council Bluffs 51503 712-323-6125 800-579-2718			District 4	Chris Haynes 3540 S. Expressway Council Bluffs 51501 712-366-4642 866-834-9673
District 5	Bryan Archer 205 E. 227th St. Fairfield 52556 641-469-4025	Nora Lind 2930 N. Court St. Ottumwa 52501 641-683-3331 641-919-2238	Vacant U.S. 34 W. P.O. Box 733 Chariton 50049 641-774-2420		District 5	Mark Claeys 205 E. 227th St. Fairfield 52556 641-469-4051
District 6	Sheila Lee 8723 Northwest Blvd. Davenport 52809 563-391-4643 800-262-5143	Terry Kluesner 14117 Iowa 136 N. P.O. Box 325 Dyersville 52040 563-875-2375 800-262-5142	Michelle Andorf 5455 Kirkwood Blvd SW Cedar Rapids, IA 52404 319-364-0235 319-440-5524		District 6	Dave Coon 5455 Kirkwood Blvd. SW Cedar Rapids 52404 319-364-0235 800-262-5144

RESIDENT CONSTRUCTION ENGINEERS

District 1	Frank Leong 2300 Southeast 17 th St. Grimes 50111 515-986-2863 800-251-2707	Dustin Skogerboe, P.E. 1308 Iowa Ave. W. Marshalltown 50158 641-752-4657 800-251-2706	Jenny Hoskins, P.E. 512 W. Clark P.O. Box 150 Jefferson 50129 515-386-8166 800-251-2705
District 2	Andrew Olsen 428 43rd St. SW Mason City 50401 641-422-9487 800-373-7344	Ron Loecher, P.E. 2224 225 th St. New Hampton 50659 641-394-3161 800-373-7343	
District 3	Vacant 1833 Industrial Road N. Cherokee 51012-2235 712-225-5786 800-579-2712	Jason Klemme, P.E. 4611 U.S. 75 N. Sioux City 51108 712-239-1367 800-579-2713	
District 4	Dave Dorsett, P.E. 3538 S. Expressway Council Bluffs 51501 712-366-0568 800-579-2719	Brian Smith, P.E. 701 E. Taylor Creston 50801 641-782-4518 877-226-8109	
District 5	Liz Finarty, P.E. U.S. 34 W. P.O. Box 733 Chariton 50049 641-774-5056 800-881-5778	Christy VanBuskirk 205 E. 227th St. Fairfield 52556 641-469-4090 800-224-6021	
District 6	Hugh Holak, P.E. 1009 W. Main P.O. Box 129 Manchester 52057 563-927-2397 800-262-5146	Adrian Simonson, P.E. 8721 Northwest Blvd. Davenport 52809 563-391-2750 800-262-5145	John Lamping, P.E. 5455 Kirkwood Blvd. SW Cedar Rapids, IA 52404 319-365-6986

DISTRICT TRANSPORTATION PLANNERS

1. Shelby Ebel 1020 S. Fourth St. Ames 50010 515-239-1996 800-899-0623

2. Scott Suhr 2210 E. Seventh St. Atlantic 50022 712-243-7627 800-289-4368

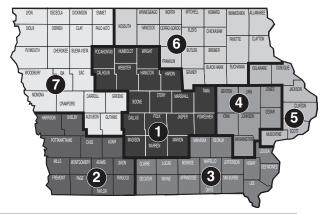
3. Hector Torres-Cacho 205 E. 227th St. Fairfield 52556 641-469-4007 800-766-4368 4. Sam Shea 5455 Kirkwood Blvd. SW Cedar Rapids 52404 319-364-0235 800-866-4368

DISTRICT OPERATIONS MANAGERS

5. Sam Shea 5455 Kirkwood Blvd. SW Cedar Rapids 52404 319-364-0235 800-866-4368 6. Krista L. Billhorn

428 43rd St. SW Mason City 50401 641-422-9447 800-477-4368

District Transportation Planners' Areas of Responsibility



7. Dakin Schultz 2800 Gordon Drive P.O. Box 987 Sioux City 51102-0987 712-274-5837 800-284-4368

IOWA DOT LEADERSHIP

Iowa DOT Director, Scott Marler



800 Lincoln Way | Ames, IA 50010 Office: 515-239-1111 | Email: scott.marler@iowadot.us

Scott Marler became the Iowa DOT Director in February of 2020. He has worked for the Iowa DOT for over 20 years, with experience in traffic operations, highway project development, regulatory compliance, and the natural environment. Marler has been active in leadership development and workforce planning and has also been instrumental in advancing geospatial technologies and systems throughout the Department.

Prior to serving as Director, Marler served as the Director of the Operations Division since December 2017. In his role, he was responsible for highway operations and traffic management on the state's 9,400 miles of highways and bridges, including the areas of construction and materials, maintenance, motor vehicle enforcement, traffic operations, and traffic and safety.

Marler is active on several national committees associated with the American Association of State Transportation Officials (AASHTO) and the Transportation Research Board (TRB). He has served on a national technical committee for the U.S. Army Corps of Engineers, an expert panel for TRB, and a course development committee for the National Highway Institute. Marler has also served on Governor's councils and other state of Iowa task forces.

He holds a Master of Science degree from Miami University in Oxford, Ohio, and a Bachelor of Science degree from the University of Southern Mississippi in Hattiesburg.

The Director's primary responsibilities:

The governor shall appoint a director of transportation, subject to confirmation by the senate, who shall serve at the pleasure of the governor and who shall not be a member of the commission (lowa Code 307.11).

The Iowa DOT director's duties set forth under Iowa Code 307.12 include the following:

- Manage the internal operations of the department and establish guidelines and procedures to promote the orderly and efficient administration of the department
- Employ personnel as necessary to carry out the duties and responsibilities of the department
- Assist the commission in developing state transportation policy and a state transportation plan
- Establish temporary advisory boards of a size the director deems appropriate to advise the department
- Prepare a budget for the department and prepare reports required by law
- Present the department's proposed budget to the commission prior to December 31 of each year
- Appoint the administrators within the department
- Review and submit legislative proposals necessary to maintain current state transportation laws
- Enter into reciprocal agreements relating to motor vehicle inspections with authorized officials of any other state
- Adopt rules as the director deems necessary for the administration of the department and the exercise of the director's and department's powers and duties
- Reorganize the administration of the department as needed to increase administrative efficiency
- Provide for the receipt or disbursement of federal funds allocated to the state and its political subdivisions for transportation purposes

- Include in the department's annual budget all estimated federal funds to be received or allocated to the department
- Adopt rules regarding transportation of hazardous wastes, including enforcement of the rules by the department and the division of state patrol
- Prepare and submit a report to the general assembly on or before January 15 of each fiscal year describing the prior fiscal year's highway construction program, actual expenditures of the program, and contractual obligations of the program
- Apply for, accept, and expend federal, state, or private funds for the improvement of transportation
- Coordinate the transportation research activities within the department

The Director supervises and directs the management of the affairs of the Department, subject to Iowa Code, Iowa Administrative Rules, and the direction of the Governor.

In the event of the incapacity of the Director, as determined by the Governor's Chief of Staff, the Chief Operating Officer is empowered to supervise and direct the management of the affairs of the Department.

The following business units are organized under the COO:

- Government and Community Relations
- Emerging Technologies Business Unit

Chief Operating Officer



Troy Jerman, P.E. Chief Operating Officer Office: 515-239-1601 Email: troy.jerman@iowadot.us

Troy A. Jerman was raised in Anthon, Iowa and graduated from Anthon-Oto High School in May 1987. He continued his education at Iowa State University, earning a Civil Engineering degree in May 1994.

Troy began working at the lowa Department of Transportation (DOT) as a COOP student in the Sioux City construction residency the summer of 1989. Immediately after graduation, Troy began his career with the lowa DOT as a designer in the Office of Design. During his career with the Department, Troy worked at the Cherokee Construction Office, Office of Design, Office of Traffic and Safety, District 5 and District 4. Troy left the Department in 2016 and spent four and a half years working in the private sector before returning in October of 2020 as the Chief Operating Officer.

The Chief Operating Officer (COO) reports directly to the Director and has primary responsibility for the day-to-day internal operations of the department while keeping the Director informed, particularly regarding the status of Iowa DOT's highest-level priorities. The COO works closely with the directors and managers of the department's divisions, bureaus, and the six districts and has supervisory responsibility for division directors.

The COO is responsible to work with the Director to foster a high performing executive team capable of advancing lowa DOT's vast operational responsibilities and in line with the governor's strategic priorities. The COO must work closely with the members of the executive team and the Office of the Director with an operational focus on budget, HR, communications, internal processes, and critical functions.

The COO serves as the final point of decision making for internal lowa DOT matters as delegated by the Director. The following bureau is under the COO:

Outreach & Development Bureau

Administrative Services Division



Lee Wilkinson Director, Administrative Services Division Office: 515-239-1340 Email: lee.wilkinson@iowadot.us

Wilkinson began his lowa DOT career in 1996 as an area maintenance manager in District 6. In 2000, he was promoted to director of the Maintenance Bureau. He was named director of the Administrative Services Division in December 2006. Prior to coming to the department, Wilkinson worked at the lowa Department of Personnel from 1991 to 1996 as a personnel officer. The lowa DOT was one of the agencies he assisted while in this position. From 1989 to 1991, Wilkinson served as a management analyst in the lowa Department of Revenue and Finance.

Wilkinson is a graduate of the University of Northern Iowa with a degree in Public Administration, with an emphasis in personnel.

The Administrative Services Division is committed to collaborating with Iowa DOT's customers to meet their administrative needs. This Division's strategic goals focus on human resources, finances, retention, diversity, business systems, and agency capital appropriations. Administrative Services has 127 staff positions across the following bureaus:

- Human Resources Bureau
- Civil Rights Bureau

- Resources and Acquisitions Bureau
- Finance Bureau

Field Operations Division



Tony Gustafson, P.E. Director, Field Operations Division (Chief Engineer) Office: 515-239-1430 Email: tony.gustafson@iowadot.us

Tony J. Gustafson was raised on a farm just northwest of Storm Lake, Iowa and graduated from Alta High School in May of 1984. He continued his education at Iowa State University, earning a Civil Engineering degree in December 1989. Tony worked as a student intern at the Iowa Department of Transportation (DOT) in the summer/fall of 1987 (Office of Design) and the summer/fall of 1988 (construction inspection out of the Ames Resident Construction Engineer Office).

Immediately after graduation (January 1990), Tony began his career with the Iowa DOT as an engineer-in-training in the Office of Design. Tony has worked in the capacity has an assistant section engineer in the Office of Design, resident construction engineer in the Cherokee Construction Residency, and I-235 Design Section Engineer in the Office of Design. In August of 2000 he was promoted to the Assistant District 1 Engineer (central Iowa area) and then in August of 2020 began serving as the District 1 Engineer. On July 7, 2023, Tony became the Field Operations Division Director/Chief Engineer of the Iowa DOT.

The Field Operations Division aims to deliver, build, maintain, and operate Iowa's highway transportation system. The Field Operation's strategic goals include promoting and providing a safety first culture, implementing TSMO, optimizing staffing and resources while minimizing outsourcing, and rebuilding promotional structures. Field Operations has 1,504 full-time employees and more than 600 temporary/part-time positions throughout the six DOT Districts.

• District 1, Ames

• District 2, Mason City

• District 3, Sioux City

• District 4, Atlantic

• District 5, Fairfield

• District 6, Cedar Rapids

The six districts are divided throughout the state, including 102 maintenance garages, and 14 Resident Construction Engineer Offices.

The Field Operations Division designs, maintains, constructs and enforces safe mobility on 9,600 miles of roadway, and approximately 4161 bridges throughout Iowa.

Department of Management – Division of Information Technology



Bill George

Director, Information Technology Division Office: 515-233-7783 Email: william.george@iowadot.us

George has been with the Iowa DOT's Information Technology Division for over 30 years. He was appointed director of the Customer Support Bureau in 2002.

He began his career with the Iowa DOT as a computer programmer within the Operations and Finance and Planning, Programming and Modal Support Team in 1989. In 1991 George advanced to program analyst and then in 1994 was promoted to systems analyst within the Operations and Finance and Planning, Programming and Modal Support Team. He was then promoted in 1995 to an Information Technology Specialist 5 within the Highway Support Team.

George received an associate degree in computer science from the Des Moines Area Community College in 1989.

The Division of Information Technology is part of the Iowa Department of Management and their purpose is to provide IT leadership and technology solutions to meet the needs and functions of Iowa DOT's business partners. The Division aims to replace legacy systems with modern technology, increase security posture in network applications, and automate and streamline business processes. This Division's strategic goals focus on risk management, IT modernization and enhancement, and a data-driven approach to technology. The IT Division has 142 staff positions across the following bureaus and business units:

- Administration, Planning, and Modal Support Bureau
- Highway Support Bureau
- Motor Vehicle Support Bureau
- Systems Support Bureau

- Network and Cybersecurity Bureau
- Customer Support Bureau
- Project Management Bureau
- Business Systems Bureau

Motor Vehicle Division



Melissa Gillett Director, Motor Vehicle Division Office: 515-237-3010 Email: melissa.gillett@iowadot.us

Gillett was appointed director of the Motor Vehicle Division in June 2017. She started her career with the Iowa DOT in 2010, first as a records administrative assistant working out of the Ankeny Driver & Identification Service Center, and then as a member of the Motor Vehicle Division's management team, serving as the division's communications and policy officer, where she led the development of web-based services, internal and external communications, and administrative rule and legislative proposals.

Melissa was then appointed director of the Driver & Identification Services Bureau in January 2016, serving dually in that role and as interim Motor Vehicle Division director from January 2017 to June 2017.

Before joining the Iowa DOT, Melissa obtained a BA in Political Communication from the University of Northern Iowa, with a minor in Spanish, and a masters of Public Administration from Drake University. She also served as a legislative clerk for the Iowa House of Representatives while in college, and before joining the Iowa DOT worked for the U.S. Department of Homeland Security/Federal Emergency Management Association, providing key guidance and oversight for disaster recovery public assistance.

The Motor Vehicle Division provides products and methods for customers to access the transportation system and is a customer's "front door" to using lowa's transportation system. In partnership with the 99 County Treasurer's offices, MVD is responsible for testing and issuance of driver's licenses and identification cards, vehicle titles, registrations, and plates; vehicle dealer licenses; and motor carrier permits, titles and registrations. MVD staff ensure compliance with state and federal regulations, and investigate fraud in all of its business areas. Its strategic goals include becoming the role model for excellent customer service. MVD has 290 staff headquartered in 18 different locations and is organized into the Bureaus:

- Central Programs Bureau
- Customer Services Bureau

- Systems and Administration Bureau
- Training, Auditing, and Enforcement Bureau

Systems Operations Division



Dave Lorenzen Director, Systems Operations Division Office: 515-237-3215 Email: david.lorenzen@iowadot.us

Lorenzen was raised in Le Mars, Iowa and graduated from Le Mars Community High School in May 1975. He graduated from the Iowa Law Enforcement Academy in March 1979.

Lorenzen began his law enforcement career with the Iowa Department of Transportation's Motor Vehicle Enforcement in November 1978. His first assignment was Northwest Iowa where he served as an officer from 1978 to 1981. He left the DOT and served as Sioux County Deputy Sheriff from 1981 to 1986. He then returned to the DOT's Motor Vehicle Enforcement and in 1989 was promoted to Sergeant in Northeast Iowa. In the 17 years he was stationed in Northeast Iowa, he served in every rank of the uniform branch in Motor Vehicle Enforcement and has served has Chief since June 2006. Lorenzen was appointed interim director of the Systems Operations Division in April 2020.

Lorenzen and his wife, Cheryl, now reside in Waukee. They are the proud parents of four grown children and 10 grandchildren. Cheryl is an elementary school teacher with the Johnston Community School District.

The purpose of the Systems Operations Division is to proactively manage, operate, and maintain the highway transportation system as safely and efficiently as possible. The goals of Systems Operations include advancing highway safety, well-maintained roadside facilities, operating the statewide TMC, supporting construction, and supporting the lowa economy through excellent operations. Its staff consists of 177 full-time employees throughout the following bureaus and business units:

- Construction and Materials Bureau
- Traffic and Safety Bureau
- Maintenance Bureau

- Traffic Operations Bureau
- TraCS Unit

Transportation Development Division



Stuart Anderson Director, Transportation Development Division Office: 515-239-1661 Email: stuart.anderson@iowadot.us

Anderson joined the department in 1992 as an engineer-in-training in the Systems Planning Bureau. He served various roles within the bureau until his appointment to director of the Office of Systems Planning in 2002. He was appointed director of the Planning, Programming, & Modal Division in January 2009 and is the current director of the Transportation Development Division.

Anderson is a 1991 graduate of Iowa State University with a bachelor's degree in Civil Engineering.

The Transportation Development Division supports the Commission's development of Iowa's long-range transportation plan and five-year program and administers state and federal grant funding programs. The Division also supports planning, project development and programming activities at city, county, regional, and metropolitan levels and promotes all modes of transportation. The division also supports and coordinates the department's research, Geographic Information System, and pavement management activities. Strategic goals include modifying the organizational structure to provide a comprehensive modal focus, advancing asset management, implementing improved grant management tools, and improving tracking of federal fund usage within the department.

The Division is committed to developing and delivering high quality transportation projects on time and within budget. In this context, the Division is focused on project and portfolio management, performance management, scoping and prioritizing projects, managing the Master Works project scheduling system and employee engagement.

This Division has 404 full-time staff members and an additional 45 temporary positions seasonally across the following bureaus and business units:

- Modal Transportation Bureau
- Design Bureau
- Program Management Bureau
- Local Systems Bureau

- Systems Planning Bureau
- Location and Environment Bureau
- Research and Analytics Bureau
- Project Management Bureau
- Bridges and Structures Bureau
- Right of Way Bureau
- Contracts and Specifications Bureau

COMMISSION ROLES AND RESPONSIBILITIES



CHAPTER 307 OVERVIEW

Authority of and governance of the Iowa State Transportation Commission

The Iowa State Transportation Commission (Transportation Commission) is created under <u>Chapter 307A of the Iowa</u> <u>Code</u> and derives its authority from, and is governed by, the provisions of that chapter, as well as other statutes and laws that govern the activities of public boards and commissions in Iowa. The following outlines the provisions of Chapter 307A, as well as other relevant statutes. Notes are added to provide additional relevant information or reference to Commission or Iowa DOT practice.

Appointment and terms – Iowa Code § 307A.1A

Subsection 1 of this section creates the Transportation Commission and provides that it shall consist of seven members, not more than four of whom shall be from the same political party. Members are appointed by the governor, subject to confirmation by the Iowa Senate.

Members appointed and confirmed serve a term of four years beginning at 12:01 a.m. on July 1 in the year of appointment and expiring at 12:00 midnight on June 30 in the year of expiration.

Note: Prior to April 2, 2018, members were appointed to a four-year term beginning May 1 in the year of appointment and ending April 30 in the year of expiration, rather than the current July 1 to June 30 terms outlined above. To avoid gaps in service, the legislation that implemented the shift to July 1 to June 30 terms (2018 Iowa Acts, ch. 1065, § 2, effective April 2, 2018) extended the terms of current Commissioners appointed before April 2, 2018, from April 30 to June 30 in the year of expiration.

Election of officers – Iowa Code § 307A.1A

Subsection 2 of this section states that the Transportation Commission shall meet in July of each year for the purpose of electing one of its members as chairperson.

Note: For purposes of procedure in the absence of the chairperson, a vice-chair is also selected at that time. There is no presumption that vice-chair will serve as chair during the subsequent year; election of the chairperson is an open election each year.

Duties of the Commission – Iowa Code § 307A.2

Section <u>307A.2</u> states the duties of the Transportation Commission are as follows:

- 1. Develop, coordinate, and annually update a comprehensive transportation policy and plan for the state.
- 2. Promote the coordinated and efficient use of all available modes of transportation for the benefit of the state and its citizens, including but not limited to the designation and development of multimodal public transfer facilities if carriers or other private businesses fail to develop such facilities.
- 3. Prepare, adopt, and cause to be published a long-range (five-year) program for the primary road system, in conjunction with the state transportation plan adopted by the Commission. The following details the statutory requirements for the program and the Transportation Commission's implementation of the program:
 - a. The program shall be prepared for a period of at least five years and shall be revised, brought up-to-date, and republished at least once every year in order to have a continuing five-year program.
 - b. The program shall include, insofar as such estimates can be made, an estimate of the money expected to become available during the period covered by the program and a statement of the construction, maintenance, and other work planned to be performed during such period.
 - c. The Transportation Commission shall conduct periodic re-inspections of the primary roads in order to revise, from time to time, its estimates of future needs to conform to the physical and service conditions of the primary roads.
 - d. Before the last day of December of each year, the Transportation Commission shall adopt and cause to be published from its long-range program, a plan of improvements to be accomplished during the next calendar year. However, in years when the federal government is reauthorizing federal highway funding, the annual plan of improvements is not required to be completed until at least ninety days from the enactment of the new federal funding formula. This annual program must list definite projects in order of urgency and shall include a reasonable year's work with the funds

estimated to be available. The annual program shall be final and followed by the Transportation Commission in the next year except that deviations may be made in case of disaster or other unforeseen emergencies or difficulties. The relative urgency of the proposed improvements shall be determined by a consideration of the physical condition, safety, and service characteristics of the various primary roads.

Note: Under current practice, the five-year program and annual plan of improvements is adopted before the end of the state fiscal year, June 30, which allows the programming cycle to coincide with state funding cycles and facilitates better funding certainty in the annual program. The five-year program and annual plan of improvements is discussed further on page 22.

To meet the requirement to conduct periodic inspections of the primary roads, we organize and conduct regular tours of various areas of the primary road system each year. The tour schedule and areas of tour are selected and approved by the Commission.

4. Adopt rules pursuant to Chapter 17A of the Iowa Code (The Iowa Administrative Procedures Act) establishing the criteria to be used by the Transportation Commission for allocating funds as a result of any long-range planning process. The Transportation Commission shall adopt such rules and regulations in accordance with the provisions of Chapter 17A as it may deem necessary to transact its business and for the administration and exercise of its powers and duties.

Note: Administrative rules that govern functions or programs within the purview of the Commission are included on <u>page 41</u>.

 Identify, within the primary road system, a network of commercial and industrial highways in accordance with section <u>313.2A of the Iowa Code</u>. The improvement of this network shall be considered in the development of the long-range program and annual plan of improvements.

Note: The current commercial and industrial network identified by the Commission is shown on <u>page 97 of the</u> Long Range Plan, Iowa in Motion.

- 6. Approve all rules of the Iowa Department of Transportation before they are adopted by the Director of the Iowa Department of Transportation pursuant to section <u>307.12</u>, subsection <u>1</u>, paragraph "j".
- 7. Establish and utilize such ad hoc advisory committees as determined necessary by the commission. The commission shall establish appointment provisions, membership terms, operating guidelines, and any other operational requirements for committees established pursuant to this subsection. Members of committees established pursuant to this subsection shall serve without compensation but may be reimbursed for actual expenses.

Conflicts of interest – Iowa Code § 307A.3

Section 307A.3 provides that a person shall not serve as a member of the commission if the person has an interest in a contract or job of work or material or the profits thereof or service to be performed for the Iowa Department of Transportation. Any member of the Transportation Commission who accepts employment with or acquires any stock, bonds, or other interest in any company or corporation doing business with the Iowa Department of Transportation shall be disqualified from remaining a member of the commission.

Note: In addition to this section, which is specific to the Transportation Commission, all board and commission members in the State of Iowa are subject to section 68B.2A of the Iowa Code, which in general prohibits any person who serves or is employed by the state or a political subdivision of the state from using the person's state position to give the person or member of the person's immediate family an advantage or pecuniary benefit that is not available to other similarly situated members or classes of members of the general public; from engaging in outside employment or activity that involves the receipt of, promise of, or acceptance of money or other consideration by the person, or a member of the person's immediate family, from anyone other than the state or the political subdivision for the performance of any act that the person would be required or expected to perform as a part of the person's regular duties or during the hours during which the person performs service or work for the state or political subdivision of the state; and from engaging in outside employment or an activity that is subject to the official control, inspection, review, audit, or enforcement authority of the person, during the performance of the person's duties of office or employment. This section is referenced in the lowa Gubernatorial Appointee Handbook.

Vacancies on the Commission – Iowa Code § 307A.4

Any vacancy in the membership of the Transportation Commission shall be filled in the same manner as regular appointments are made for the unexpired portion of the regular term. In the event the governor fails to make an appointment to fill a vacancy or fails to submit the appointment to the Iowa Senate for confirmation as required by section 2.32 of the Iowa Code, the senate may make the appointment prior to adjournment of the general assembly.

Compensation for and expenses of Transportation Commission members – Iowa Code §§ 307A.5, 307A.7

<u>Section 307A.5</u> provides that each member of the commission shall be compensated as provided in section <u>7E.6</u>, which states that Transportation Commission members shall be compensated at the an annual rate of \$10,000.

Section <u>307A.7</u> provides that members of the Transportation Commission shall be allowed their actual and necessary expenses incurred in the performance of their duties. All expenses and salaries shall be paid from appropriations for such purposes and the Transportation Commission shall be subject to the budget requirements of Chapter 8 of the Iowa Code.

Note: For purposes of annual budget the positions, expenses, and salaries of the Transportation Commission are included in the annual budget for the Iowa Department of Transportation approved and appropriated by the Iowa Legislature each year and are included for budgetary purposes in the budget unit established for the Iowa Department of Transportation's Director's office. The Iowa Department of Transportation provides for all accounting and disbursement of salaries and expenses for Transportation Commission members. Transportation Commission members complete an I-9 at the outset of their term for this purpose and are compensated on the same bi-monthly basis as all state employees.

Transportation Commission meetings – call, notice, and quorum – Iowa Code § 307A.6

Section <u>307A.6</u> provides that the Transportation Commission shall meet at the call of the chairperson or when any four members of the commission file a written request with the chairperson for a meeting. Written notice of the time and place of each meeting shall be given to each member of the commission.

A majority of the commission members shall constitute a quorum.

Note: A quorum requires a majority of all commission members, not just a majority of those members present at a meeting. Actions of the Transportation Commission are subject to lowa's open meetings laws (Chapter 21 of the lowa Code), which are described on <u>page 31</u>.

Commission meetings are typically divided into workshops at which information is provided but no formal action is taken and business meetings at which formal actions are taken. The schedule of meetings for the year, including tours, is included on page 32.

Staff develop proposed workshop agendas in consultation with the Commission chair, and the proposed agendas are submitted to the entire Commission for review and approval before being finalized

Removal from office - Iowa Code § 307A.6

Any member of the commission may be removed for any of the causes and in the manner provided in <u>Chapter 66 of the lowa Code</u>, and such removal shall not be in lieu of any other punishment that may be prescribed by the laws of this state.

Chapter 66 of the Iowa Code provides for removal of appointed or elected officers from office by order of an Iowa district court for willful or habitual neglect or refusal to perform the duties of the office, willful misconduct or maladministration in office, corruption, extortion, conviction of a felony, intoxication or a conviction of being intoxicated, or conviction of violating the provisions of chapter 68A (which relates to campaign finance and disclosure).

SPECIFIC PROGRAMS AND ACTIVITIES

The following activities and programs include involvement from the Commission. Please see the schedule following the program descriptions which lays out when the Commission should typically expect to take action on each activity.

State Long Range Transportation Plan

lowa in Motion is a multimodal transportation planning document developed and approved by the Commission typically every five years. It helps provide policy direction for the types of investments the department should be making, and also identifies specific strategies and corridor-level improvement types for consideration. Additionally, Metropolitan Planning Organization (MPO) and Regional Planning Affiliation (RPA) policy boards and technical committees may use the Plan to help capture the Commission's perspective for their local planning efforts and guide their own investment decisions. Projects programmed within the lowa Five-Year Program, which is approved by the Commission, support implementation of the plan. In addition, more specialized plans will provide further detail concerning the implementation of elements of the plan.

https://iowadot.gov/iowainmotion

Five-year program

Each year, the Commission develops and approves the five-year lowa Transportation Improvement Program, which contains the planned investments for the next five fiscal years to support our state's multimodal transportation system, including aviation, public transit, railroads, trails, rivers, and highways. A major component of the program is the highway program, and the lowa Transportation Commission (Commission) continues to advance investment of funding to improve state roads and bridges in the highway program.

https://iowadot.gov/program_management/FINAL_2021-2025_5YrProg.pdf

Approval of administrative rules

The Department currently has 111 administrative rule chapters. To adopt, amend, or rescind administrative rules, the Department must follow a rule-making process that is established in <u>lowa Code chapter 17A</u>. The entire administrative rule-making process takes between four and five months to complete and involves publishing a Notice of Intended Action and an adopted and filed document in the lowa Administrative Bulletin. A Notice of Intended Action allows for public comment and, after public comment, an adopted and filed document is published to implement the changes.

Before the Department can publish an adopted and filed document, the Commission must review and approve the proposed rules.

There are rare occurrences when the Department must implement rules faster than the normal process will allow and the Department must then use "emergency" rulemaking procedures. In those cases, the Commission must review and approve the rules prior to the Department publishing an emergency rule making.

State Recreational Trails

This grant program is for state agencies, counties, cities, and non-profit organizations to fund public recreational trails. Sponsoring entities are required to make a 25% minimum match. Matching funds may include grants from other state agencies and donated labor, materials, equipment, and services from a third party (in-kind). Trails resulting from the grants must be maintained as a public facility for a minimum of 20 years. Up to \$2.5 million available however funding varies per year depending on allocation by the Iowa legislature.

More information: <u>https://iowadot.gov/systems_planning/Grant-Programs/-Federal-and-State-Recreational-Trails</u>

Federal Recreational Trails

This grant program is for public agencies and non-profit or private organizations (must be co-sponsored by a public agency) to provide and maintain motorized and non-motorized recreational trails and trail-related projects. Sponsoring entities are required to make a 20% minimum match and maintain any trails resulting from the grants as a public facility for a minimum of 20 years. Up to \$1.3 million available.

More information: https://iowadot.gov/systems_planning/Grant-Programs/-Federal-and-State-Recreational-Trails

Statewide Transportation Alternatives Program

This grant program is for local or regional government agencies who have an improvement project that has a direct relationship to existing or planned surface transportation facilities. Typical projects include:

- On- and off-road pedestrian and bicycle facilities, infrastructure projects for improving non-driver access to public transportation and enhanced mobility, community improvement activities, and environmental mitigation
- Recreational trail projects
- Safe routes to school projects
- Projects for planning, designing, or constructing boulevards and other roadways largely in the right-of-way of former divided highways

Within these broad areas, there are a variety categories of projects listed as eligible for this grant and a local match of 20% or more is required. Project applications can be submitted for projects with statewide significance. Approximately \$5.0 million is available for statewide projects annually.

More information: <u>https://iowadot.gov/systems_planning/grant-programs/transportation-alternatives</u>

Iowa's Clean Air Attainment Program

This program funds highway/street, transit, bicycle/pedestrian, or freight projects or programs which help maintain lowa's clean air quality by reducing transportation-related emissions. Eligible highway/street projects must be on the federalaid system, which includes all federal functional class routes except local and rural minor collectors. Public entities are eligible to submit an application and a minimum of 20% match is required. There is also a minimum \$20,000 total project cost and approximately \$4 million is available for these projects annually.

More information: <u>https://iowadot.gov/systems_planning/Grant-Programs/Iowa-Clean-Air-Attainment-Program-ICAAP</u>

Revitalize Iowa's Sound Economy (RISE)

Projects funded by the RISE program promote economic development in Iowa through the establishment, construction, and improvement of roads and streets. The RISE program is targeted toward value-adding activities that feed new dollars into the economy and provide maximum economic impact to the state on primary or secondary roads, and city streets open for public use. While all counties and incorporated cities in Iowa are eligible to apply, funding is generally limited to industrial, manufacturing, warehousing, distribution, and professional office developments, with few exceptions. Funding may be in the form of a grant, loan, or combination thereof. Annually there is approximately \$12 million available for city projects and \$6 million for county projects.

More information: <u>https://iowadot.gov/systems_planning/Grant-Programs/Revitalize-Iowas-Sound-Economy-RISE-Program</u>

Traffic Safety Improvement Program

The Traffic Safety Improvement Program provides funding for traffic safety improvements or studies on any public roads under county, city, or state jurisdiction. The program's annual funding level is one-half percent of Iowa's Road Use Tax Fund (approximately \$7 million per year). Total funding for all traffic control device projects cannot exceed \$500,000 annually. Total funding for all research, studies, and public information initiatives cannot exceed \$500,000 annually. Site-specific project funding cannot exceed \$500,000 per project.

More information: <u>https://iowadot.gov/traffic/traffic-and-safety-programs/tsip/tsip-program</u>

Federal Aviation Improvement Program

This is a program available for airport improvements and airport planning. Public agencies owning public-use airports in the Federal Aviation Administration's (FAA) National Plan of Integrated Airport Systems are eligible to request funds. The program requires a 10% local match/90% federal share. There is a \$25,000 minimum application amount. Iowa DOT staff prioritizes projects and the Iowa Transportation Commission approves the priorities prior to being submitted to the FAA. FAA then selects projects for funding and offers the grant directly to the airport sponsor.

More information:

https://iowadot.gov/aviation/airport-managers-and-sponsors/Federal-Funding/federal-funding-programs

Commercial Service Vertical Infrastructure

This program provides funding for landside development and renovation of terminals, hangars, maintenance buildings, and fuel facilities at commercial service airports. Routine maintenance of buildings and minor renovation projects are not eligible. Appropriated funds are distributed to the commercial service airports by a 50/40/10 formula. One half of the funds are allocated equally between each airport, 40 percent of the funds are allocated based on the percentage of enplaned passengers at each airport versus the total number of enplaned passengers in the state, and 10 percent of the funds are allocated based on the percentage of the air cargo tonnage at each airport versus the total tonnage in the state. Commercial service airports are required to submit applications for specific projects for approval by the Transportation Commission. No local match is required. Up to \$1.5 million available, however funding varies per year depending on appropriation by the lowa legislature.

More information: <u>https://iowadot.gov/aviation/airport-managers-and-sponsors/State-Funding/state-funding-programs#20349467-commercial-air-service-vertical-infrastructure-csvi--program</u>

General Aviation Vertical Infrastructure

Public owned general aviation airport sponsors may apply for projects under this program that include landside development and renovation of airport terminals, hangars, maintenance buildings, and fuel facilities. Routine maintenance of buildings and minor renovation projects are not eligible. Eligible projects may be funded up to 85 percent when funding is available for the program. Up to \$750,000 is available, however funding varies per year depending on allocation by the lowa legislature.

More information: <u>https://iowadot.gov/aviation/airport-managers-and-sponsors/State-Funding/state-funding-programs#20349466-general-aviation-vertical-infrastructure-gavi-program</u>

State Airport Improvement Program

This program provides funding for airport improvements, navigational aids, communications equipment, marketing, safety, security, outreach, education, and planning. Airport Development and Immediate Safety Enhancement are specific funding programs under the Airport Improvement Program. Any publicly-own airport in Iowa is eligible to apply for one of the two program types. For Airport Development projects, up to 85 percent of the project can be funded by the state if projects meet the objectives of state aviation system plan. For Immediate Safety Enhancement projects, up to 70 percent of the project can be covered by state funds. Program funding level vary per year depending on appropriation by the Iowa legislature.

More information: <u>https://iowadot.gov/aviation</u>

Public Transit Infrastructure Grant Program

This program provides state funding assistance to support vertical infrastructure needs of Iowa's public transit systems. Urban or regional transit systems as designated by local officials under <u>Chapter 324A of the Code of Iowa</u> are eligible to apply. (Transit systems may be organized as public bodies or as private not-for-profit corporations.) Projects can involve new construction, reconstruction, or remodeling, but must include a vertical component. Projects are evaluated based on anticipated benefits to transit, as well as the ability to have projects completed quickly (generally within 18 months). A minimum 20 percent local match is required, even when state and federal funds are combined to fund the project. Up to \$1.5 million available however funding varies per year depending on allocation by the Iowa legislature.

More information: <u>https://iowadot.gov/transit/Funding-programs-and-applications/funding-programs</u>

Federal Transit Capital Discretionary Funding

Although the specific program(s) can change from year-to-year, there typically are federal discretionary funding programs to support bus replacement at public transit agencies. In recent years, the major program available through the Federal Transit Administration is the Bus and Bus Facilities Infrastructure Investment Program (<u>https://www.transit.dot.gov/bus-program</u>). This has been an annual application based program eligible for public transit agencies and state DOT's. While some individual lowa public transit agencies have applied for funds, the Iowa DOT has also applied for and received grants on behalf of transit agencies in Iowa to replace aging buses. Eligible activities include "capital projects to replace, rehabilitate and purchase buses, vans, and related equipment, and to construct bus-related facilities, including technological changes or innovations to modify low or no emission vehicles or facilities." If Iowa DOT receives an award, buses to be replaced are identified using the Commission's Public Transit Management System which prioritizes bus replacement primarily on vehicle age and mileage. Bus replacement recommendations are presented to the Commission for review and action.

Intercity Bus Program

This program provides funds for existing intercity bus routes that tie lowa to the rest of the country; new feeder routes which will give smaller communities access to existing intercity routes; marketing for new or existing routes; and providers' efforts to upgrade equipment and facilities to become compliant with the Americans with Disabilities Act of 1990 (ADA). Private intercity bus companies, public transit agencies, and local communities are eligible. Joint private/ public applications are encouraged. Qualifications for funding varies depending on project type.

More information: <u>https://iowadot.gov/transit/Funding-programs-and-applications/funding-programs</u>

Linking Iowa's Freight Transportation System (LIFTS) Program

The LIFTS program is a grant funding opportunity to improve lowa's freight transportation system and focuses on projects not typically funded through highway funding programs. The LIFTS program seeks to address the gaps in multimodal funding to assist in bolstering the freight transportation system - be that by truck, train, barge, airplane, or multiple modes. Products grown or made in lowa take a journey on multiple modes of transportation around the United States and the world. Efficient, diverse, and cost-effective freight transportation is critical to the health of lowa's businesses, communities, and economy. The Fixing America's Surface Transportation funding through the establishment of a National Highway Freight Program (NHFP). Up to 10 percent of lowa's NHFP apportionment may be used on freight intermodal or freight rail projects. Applicants must provide a local match for the proposed project that may include cash, noncash, or approved state fund contributions. The minimum amount of matching funds required of a public entity or a public/private partnership is 20 percent; private entities must provide a 50 percent match if not partnering with a public agency. The program has approximately \$1.3 million in a funding cycle.

More information: <u>https://iowadot.gov/iowarail/financial-assistance/lifts</u>

Railroad Revolving Loan and Grant Program

This state loan and grant program was established to build or improve rail infrastructure or facilities that will spur economic development and job growth and provide assistance to railroads for the preservation and improvement of the rail transportation system. Those eligible to request funds include businesses and industries, railroads, local governments, and economic development agencies. Projects may be funded through a grant or loan, or a combination thereof. Justification for projects will focus on job creation, wage quality, and project investment. The program is funded from loan repayments and state appropriations - funding availability varies.

More information: https://iowadot.gov/iowarail/Financial-Assistance/rrlgp

Highway-Railroad Crossing Surface Repair Program

This program assists railroad companies and public road jurisdictions with rebuilding public highway-railroad grade crossing surfaces in Iowa. Railroad companies or other private entities, such as grain elevators, that own a railroad track; and public road jurisdictions are eligible to apply. Qualifications for funding include a 20% railroad match; 20% public road jurisdiction match. The program is funded at \$900,000, appropriated annually from the Road Use Tax Fund.

More information: https://iowadot.gov/iowarail/safety/grade-crossing-surface-repair-program

Highway-Railroad Crossing Safety Program

This federally funded program improves the safety of public highway-railroad grade crossings. These funds are used to install new crossing signal devices, to upgrade existing signals, and to provide low-cost improvements; such as increased sight distance, widened crossings, increased signal lens size, or crossing closures. However, the available funding allows only a limited number of safety improvements each year. The program is available to either a railroad or a roadway jurisdiction. Ninety percent of approved projects are funded, with the remaining 10 percent paid by the highway authority, the railroad, or most commonly, a portion paid by each. There is \$4.9 million available annually for this program.

More information: <u>https://iowadot.gov/iowarail/safety/federal-aid-crossing-safety-program</u>

HIGHWAY FUNDING IN IOWA

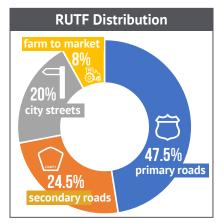


STATE FUNDING/ALLOCATION

State highways maintained by the Iowa DOT are financed with funds that are principally derived from vehicle fuel taxes and registration fees collected and allocated by the state and federal governments.

Road Use Tax Fund (RUTF)

Dedicated highway user revenue, collected through a state excise tax on fuels, motor vehicle registration fees, and other fees designated by state law, are deposited into the Iowa RUTF. No State of Iowa general fund (i.e. general tax) revenue is used for highway projects in Iowa. Established in 1949 by the 53rd Iowa General Assembly, the RUTF has provided a stable and reliable source for investing in the state's primary, secondary, and municipal roadway systems.



After some off-the-top allocations, receipts into the RUTF are distributed according to a formula of 47.5 percent for the primary road system programmed by the Commission and constructed and maintained by the Iowa DOT, 24.5 percent for secondary county roads, 20 percent for city streets, and 8 percent for farm-to-market county roads.

Legislation that went into effect in 2003, which involved the transfer of jurisdiction of some roadways from the state to either a city or county government, requires a share (1.75 percent) of the primary road system funds be paid to local governments.

The RUTF is a constitutionally protected fund.

This comes from Article 7, section 8 of the Iowa Constitution, which states that motor vehicle registration fees and all licenses and excise taxes on motor vehicle fuel, except cost of administration, shall be used exclusively for the construction, maintenance, and supervision of the public highways.

Because the RUTF mingles these protected sources of revenue with other sources of revenue, all funds in the RUTF are subject to this constitutional protection, and must be dedicated to construction, maintenance, and supervision of public highways.

MOTOR FUEL	TAX
Gasoline including ethanol blends of E10 to E14	30.0
Ethanol-blended gasoline ² (Fuel that has been blended with alcohol distilled from cereal grains, the end product containing at least 15 percent alcohol)	25.5
Aviation gasoline	8.0
SPECIAL FUEL	TAX
Diesel including biodiesel B19 or lower	32.5
Diesel B20 ²	29.5
Aviation jet fuel	5.0
Liquefied petroleum gas (LPG)	30.0
Liquefied natural gas (LNG)	32.5
Compressed natural gas (CNG)	31.0

²On July 1, 2024, the rate for Diesel B20 or higher changed – from 29.8 cents per gallon to the rate shown above.

On July 1, 2024 the rate for ethanol blended gasoline (E-15 or higher) changed from 24.5 cents per gallon to the rate shown above.

TIME-21

In 2008, an additional stream of state revenue was established through legislation creating a separate "TIME-21" fund. This revenue is dedicated to maintenance and construction of certain primary highways in the state (60 percent), secondary roads (20 percent), and municipal streets (20 percent).

TIME-21 was a response to a "perfect storm" of factors threatening to create an estimated \$267 million per year funding shortfall, hindering the state's ability to adequately maintain and improve public roadways in Iowa. Those factors include a large and aging public roadway system, increasing demands on that system, flattening revenue, and increased construction costs.

The new revenue stream, which helps to address the projected shortfall, was created by changing certain vehicle registration fees and schedules, and by increasing trailer registration and title fees.

Total state funding

For state FY 2025, receipts into the RUTF and the TIME-21 fund are estimated to be \$1.981 billion, comprised of \$676 million in fuel taxes, \$1.199 billion in various registration fees, plus \$106 million from miscellaneous other sources.

Primary Road Fund

Of the \$1.981 billion in total state funding estimated for FY 2025, approximately \$966 million is deposited into the Primary Road Fund. The Primary Road Fund is the major source of funding appropriated by the legislature on an annual basis for the Iowa DOT's operations budget (there is also a smaller amount appropriated annually by the legislature from the Road Use Tax Fund for Iowa DOT operations to cover activities that go beyond support of just the primary road system). For FY 2025, approximately \$399 million was appropriated from the Primary Road Fund for Iowa DOT operations budget.

The remaining balance of Primary Road Fund revenue (estimated to be \$554 million in FY 2025) is then available for the Commission to program on road and bridge projects on the primary road system. In addition to the state funding from the Primary Road Fund, approximately \$516 million of federal funding (described in the next section of the guidebook) is also available for the Commission to program on road and bridge projects on the primary road system.

Allocation

Iowa's Five Year Transportation Improvement Program is developed yearly by the Iowa Transportation Commission with input from Iowa DOT staff and the public. It describes planned investments in Iowa's multimodal transportation system, including aviation, transit, railroads, trails and highways. For FY 2025-2029, approximately \$4.5 billion is forecast to be available for highway right of way and construction. Iowa Transportation Improvement Program

FEDERAL FUNDING/ALLOCATION

OVERVIEW:

In addition to state revenue, highway projects in Iowa are funded via federal programs.

The primary funding source for these federal programs is revenue from federal taxes on motor fuel and other user fees which flow into the federal Highway Trust Fund (HTF). The HTF was created through enactment of the Highway Revenue Act of 1956. The taxes are collected by the federal government and placed in the HTF which consists of two accounts: the Highway Account, and the Mass Transit Account.

CURRENT FEDERAL TAXES ON MOTOR FUEL AND SPECIAL FUEL (CENTS PER GALLON)									
FUEL TYPE	TAX RATE	TO HIGHWAY ACCOUNT	ACCOUNT TO TRANSIT ACCOUNT	LUST FUND					
Gasoline/Gasohol	18.4	15.44	2.86	0.1					
Diesel	24.4	21.44	2.86	0.1					
Liquid petroleum gas	18.3	16.17	2.13						
Liquid natural gas	24.3	22.44	1.86						
Compressed natural gas	18.3	17.07	1.23						

FEDERAL DOLLARS BACK TO IOWA

The federal funds are apportioned (returned) to the states per provisions in the Infrastructure Investment and Jobs Act (IIJA) which was passed by Congress and signed on Nov. 15, 2021 (PL 117-58). The IIJA reauthorized surface transportation programs through Sept. 30, 2026. Most federal transportation taxes, including those on gasoline and diesel fuel, are authorized through Sept. 30, 2026.

The total of apportionments and allocations paid out nationally now exceeds revenue contributed to the trust fund because federal general fund dollars have been added to the HTF in recent years to supplement the revenue. A combination of factors has made the revenue stream inadequate to fund the programs and projects the HTF was intended to cover. These factors include inflation, higher gas mileage and use of vehicles powered by electricity.

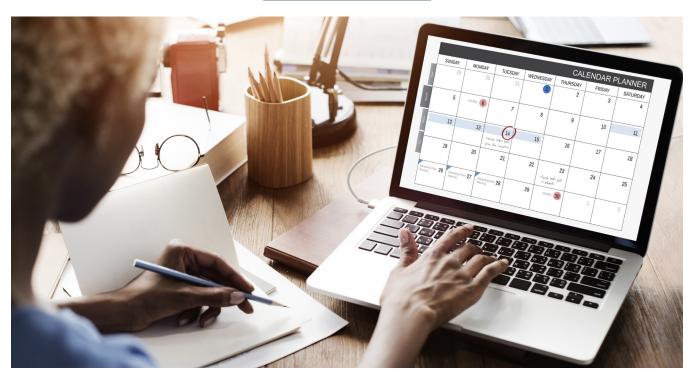
HIGHWAY TRUST FUND DOLLARS TO STATES – FORMULA AND ALLOCATION FY 2024 (LATEST AVAILABLE COMPREHENSIVE DATA)

ACCOUNT	NATIONAL	AMOUNT TO IOWA						
Highway account	\$60.8 B	\$789.8 M						
Transit account	\$14.0 B	\$63.1 M						
Total	\$74.8 B	\$852.9 M						
Federal funds are available only as reimbursement of expenditures on approved projects. Most projects require a 20 percent non-federal match.								

Federal funds returned to lowa

In FY 2024, \$789.8 million of the Highway Account funding was returned to Iowa in the form of apportionments and allocations for the improvement and maintenance of roadways and bridges and other federal-aid eligible projects around the state.

SCHEDULE OF MAJOR COMMISSION ITEMS



2024-2025 MEETINGS

Standard Commission meeting structure: Meetings are held on the second Tuesday of every month. They are typically located in Ames, with the workshop beginning around 9:30-10 a.m. The business meeting begins at 1:00 p.m. and is usually brief.

Commission tour structure: Four times a year the Commission tours a different area of the state and hosts the workshop and business meeting, as well as a public input meeting, in a host city other than Ames. The Iowa DOT provides bus transportation for the tour. Tours take place on Monday morning, through lunch, and early afternoon. They typically begin and end at the hotel, with lunch provided along the tour. The workshop is held after the tour, normally at the hotel. The business meeting is at 8 a.m. and the public input meeting follows, which is usually over before noon.

Current meeting information is located at: <u>https://iowadot.gov/commission/meeting-dates-and-minutes</u>

2024 MEETING AND TOUR DATES

July 9 Workshop and Meeting

August 12-13 Tour and Meeting

September 10 Workshop and Meeting October 7-8 Tour and Meeting

November 12 Workshop and Meeting

December 10 Workshop and Meeting

2025 MEETING AND TOUR DATES

January 21 Workshop and Meeting February 11

March 11 Workshop and Meeting

Workshop and Meeting

March 20 Workshop April 7-8 **Tour and Meeting**

Mav 13 Workshop and Meeting

June 9-10 **Tour and Meeting**

SCHEDULE OF REGULAR TRANSPORTATION COMMISSION ACTIVITIES

• preview vaction at business meeting recom	mend	ation a	at wor	kshop	and a	ction a	at busi	iness r	neetin	g	-	
🚖 recommendation at workshop	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
General	·	·							·			
Approve Future Commission Meeting Dates		•	~									
Commission Public Input Tour				0		•		•		•		
Election of Officers/Beginning of Commissioner Term							~					
Review and Ratification of Iowa Transportation Commission Self-Governance Document							•	~				
DOT Budget Presentation (information only)												•
Administrative Rules (as needed but occurs most months)	\odot	\odot	\odot	\odot	\odot	\odot	\odot	0	0	\odot	\odot	0
Five-Year Transportation Improvement Program	1	1			1	1	'	1	1	1	1	
Highway Program Balance Report	Ο	•	•	Ο	•	•	•	•	•	•	Ο	0
Asset Management/Program Development Process	•	•	•							•	•	0
Review status of Long-Range Transportation Plan Implementation	ο											
Highway Program Development Overview	•											
Review Previous Highway Program Objectives	•											
Review Highway Program Candidates	•	•	0	0								
Statewide Line Items		*	>									
Discuss Highway Program Funding Forecast/Funding Balance			•									
Determine New Program Objectives			*	~								
Present Draft Highway Program/Transportation Improvement Program					•							
Final Highway Program/Transportation Improvement Program						*						
Grant Programs		·		-								
State Recreational Trails								*	~			
Federal Recreational Trails	~							Ì				*
Statewide Transportation Alternatives Program	~	İ				ĺ	ĺ	ĺ			ĺ	*
Iowa's Clean Air Attainment Program	~											*
Revitalize Iowa's Sound Economy (RISE)	0	0	0	\odot	0	Ø	Ø	\odot	Ø	Ø	Ø	0
Traffic Safety Improvement Program											*	~
State Aviation Program												
Commercial Service Vertical Infrastructure							*	~				
General Aviation Vertical Infrastructure							*	~				
Airport Improvement Program							*	~				
FAA Funding Pre-Applications		\bigcirc										
Public Transit Infrastructure Grant Program						*	~					
Federal Transit Capital Discretionary Funding (dependent on congressional action)						*	•					
Intercity Bus Program											*	~
State Transit Assistance Special Projects	~											*
Railroad Revolving Loan and Grant Program									*	~		
Highway-Railroad Crossing Surface Repair Program									*	~		
Highway-Railroad Crossing Safety Program								ĺ	*	~		

CONDUCT OF MEETINGS



OPEN MEETINGS LAWS

Open meetings laws

Board meetings are regulated by the state's open meetings law, governed by Iowa Code Chapter 21. The intent of the law is for every meeting of governmental bodies to be open to the public unless there are overriding reasons to close the meeting.

- Public notice of the meeting must be given at least 24 hours prior to the meeting.
- Meetings must be held at a place reasonably accessible to the public and at a time that is reasonably convenient to the public, unless impossible or impractical.
- Meetings are open unless they are closed for a very specific reason. Closed sessions are permitted when the vote passes by two-thirds of the total membership or if the vote is unanimous by the members who are present.
- If a meeting is closed, the board must take a roll call vote to go into closed session and keep a tape recording and detailed minutes of the closed session.
- Final action must be taken in open session.
- Telephone conference calls may be held only in specific circumstances.

In addition, each board and commission is required to keep minutes of all its meetings showing the date, time, place, members present, and the actions taken at each meeting. The minutes should also show the results of each vote taken and information sufficient to indicate the vote of each member present.

Failure to comply with the provisions of the open meetings law can result in a fine being assessed against an individual. A board or commission member may be liable for the legal fees incurred by the person bringing the action against the board for violation of the open meetings law. A member can be removed for repeated violations and an injunction can be issued to order the board or commission to refrain from further violations.

Use of email and open meetings law

<u>lowa Code, Section 21.2(2)</u> defines a meeting as a gathering in person or by electronic means, formal or informal, of a majority of the members of a governmental body where there is deliberation or action upon any matter within the scope of the governmental body's policy-making duties. Email communications that include a majority of the Commission may constitute a meeting and violate lowa's open meetings laws if they engage in deliberation.

To avoid potential violations, emails that are sent to a majority of the Commission should pertain to ministerial matters such as scheduling or to share general information and should not include anything that will spur or invite deliberation. This does not preclude suggesting potential topics or requesting information be provided to the Commission, but to avoid potential violations, emails should not include opinion or positions about policy or action items and should not advocate for a specific result or position by email. Statements of that nature should be reserved for open meetings in Commission workshops and business meetings.

Additional guidance

- A "meeting" is defined to be "a gathering in person or by electronic means, formal or informal, of a majority of the members of a governmental body where there is deliberation or action upon any matter within the scope of the governmental body's policy-making duties." The term does not include a gathering of members for "purely ministerial or social purposes when there is no discussion of policy or no intent to avoid [the opening meetings requirements]." Iowa Code § 21.2(2).
- Consistent with the above, members can gather for social occasions but not to discuss business. A gathering becomes a "meeting" if a quorum of officials engages in the discussion of business or policy.
- A "walking quorum" is a series of gatherings among separate groups of members of a governmental body, each less than quorum size, who agree, tacitly or explicitly to act uniformly in sufficient number to reach a quorum. A walking quorum may produce a predetermined outcome and thus render a publicly held meeting a mere formality. Do not engage in any activity that could constitute a "walking quorum."
- Emails concerning public business are public records. Therefore, it is good advice to not send in an email anything which you would not be comfortable seeing in a newspaper or other public document or presentation.

Attorney General Tom Miller has issued dozens of "Sunshine Advisories" to educate public officials and the public about Iowa's Open Meetings and Public Records Laws. The advisories (with index) are found at <u>http://www.iowaattorneygeneral.</u> <u>gov/about-us/sunshine-advisories</u>.

You may also wish to consult the web page of the Iowa Public Information Board at https://www.ipib.iowa.gov.

PUBLIC APPEARANCE OUTSIDE OF COMMISSION WORKSHOPS AND MEETINGS

There may be occasions in which you are called upon or choose to appear at public events and meetings that are related to projects or other events related to state transportation or activities of the lowa DOT.

You are of course free to attend these events and meetings as you choose. To avoid the appearance of committing the Transportation Commission to positions the Transportation Commission has not discussed or adopted, it's recommended you delineate personal positions from positions of the Transportation Commission when discussing issues that are pending before or may come before the Transportation Commission.

Additionally, because some members of the public make the understandable assumption that the Transportation Commission has broad operational authority over the Iowa DOT that it does not have, it is recommended that you note the issue in question is outside the Transportation Commission's authority when discussing items of that nature.



PARLIAMENTARY PROCEDURE

Business meetings will be conducted using Robert's Rules of Order. A parliamentarian will be available at business meetings to provide governance on parliamentary procedure when necessary.

Roberts Rules of Order

PART 1, MAIN MOTIONS. These motions are listed in order of precedence. A motion can be introduced if it is higher on the chart than the pending motion.§ indicates the section from Robert's Rules.									
ş	PURPOSE	YOU SAY	INTERRUPT	2ND	DEBATE	AMEND	VOTE		
§21	Close meeting	l move to adjourn	No	Yes	No	No	Majority		
§20	Take break	I move to recess for	No	No	No	No	Majority		
§19	Register complaint	I rise to a question of privilege	Yes	No	No	No	None		
§18	Make follow agenda	I call for the orders of the day	Yes	No	No	No	None		
§17	Lay aside temporarily	I move to lay the question on the table	No	Yes	No	No	Majority		
§16	Close debate	I move the previous question	No	Yes	No	No	2/3		
§15	Limit or extend debate	I move that debate be limited to	No	Yes	No	Yes	2/3		
§14	Postpone to a certain time	I move to postpone the motion to	No	Yes	Yes	Yes	Majority		
§13	Refer to committee	I move to refer the motion to	No	Yes	Yes	Yes	Majority		
§12	Modify wording of motion	I move to amend the motion by	No	Yes	Yes	Yes	Majority		
§11	Kill main motion	l move that the motion be postponed indefinitely	No	Yes	Yes	No	Majority		
§10	Bring business before assembly (a main motion)	l move that [or "to"]	No	Yes	Yes	Yes	Majority		
	T 2, INCIDENTAL MOTIO rder of precedence. These ma	NS. otions arise incidentally and are decided imm	nediately.						
§23	Enforce rules	Point of Order	Yes	No	No	No	None		
§24	Submit matter to assembly	I appeal from the decision of the chair	Yes	Yes	Varies	No	Majority		
§25	Suspend rules	I move to suspend the rules	No	Yes	No	No	2/3		
§26	Avoid main motion altogether	l object to the consideration of the question	Yes	No	No	No	2/3		
§27	Divide motion	I move to divide the question	No	Yes	No	Yes	Majority		
§29	Demand a rising vote	l move for a rising vote	Yes	No	No	No	None		
§33	Parliamentary law question	Parliamentary inquiry	Yes if urgent	No	No	No	None		
§33	Request for information	Point of information	Yes if urgent	No	No	No	None		
		NG A QUESTION AGAIN BEFORE THE <i>i</i> e only when nothing else is pending.	ASSEMBLY.						
§34	Take matter from table	I move to take from the table	No	Yes	No	No	Majority		
§35	Cancel previous action	I move to rescind	No	Yes	Yes	Yes	2/3 or Majority w/ notice		
§37	Reconsider motion	I move to reconsider	No	Yes	Varies	No	Majority		

Taken from https://robertsrules.org/motions.html

CONDUCT OF COMMISSIONERS



ETHICS, LOBBYING, AND FINANCIAL DISCLOSURES –

Conflicts of interest

See the discussion of conflicts of interest under Commission Roles and Responsibilities on page 21.

Gifts and honoraria

A public official or their family cannot directly or indirectly accept or receive any gift or series of gifts from a restricted donor. For a complete list of prohibited and permitted items see <u>lowa Code § 68B.22</u>

Lobbying

Under <u>section 68B.2(13) of the Iowa Code</u>, lobbying means "to encourage the passage, defeat, approval, veto, or modification of legislation, a rule, or an executive order by the members of the general assembly, a state agency, or any statewide elected official." under <u>section 69B.36 of</u> <u>the Iowa Code</u> the Commission may not appoint a member to lobby unless that member has registered as a lobbyist. This does not prohibit the Transportation Commission from considering and acting on rules of the Iowa DOT that come before the Transportation Commission under <u>section 307A.2</u> <u>of the Iowa Code</u>.

Personal financial disclosures

Commissioners receive an annual salary, therefore they are required to file financial statements with the state. The statement must list: each business, occupation, or profession in which the individual is engaged, as well as the nature of that business, occupation, or profession; and all other sources of income if the source produces more than \$1,000 annually in gross income. See lowa Code § 68B.35.

Resources and contacts for ethics questions

If you have questions or need more information regarding ethics contact:

Iowa Ethics & Campaign Disclosure Board

https://ethics.iowa.gov Phone: (515) 281-4028 Email: ethicsboard@iowa.gov

STATE OF IOWA WORKPLACE POLICIES

Substance abuse

The State of Iowa is committed to maintaining a safe work environment free from the use, abuse or effects of alcohol or drugs/controlled substances. Individuals have the right to work in an environment that is free from drug and alcohol abuse or misuse. Those who are under the influence of drugs or alcohol may adversely affect other employees and the public at large. Therefore, individuals are prohibited from:

- Reporting to work while under the influence of alcohol or drugs
- Unauthorized or illegal manufacture, possession, sale, purchase, transfer, consumption or use of any drugs or alcoholic beverages while engaged in state business, or on state property
- Illegal use or abuse of drugs or alcoholic beverages during or within either hours before operating a state or personal vehicle when the individual is engaged in state business
- Unauthorized use of prescription drugs while engaged in state business or on state property

Violence

The State of Iowa recognizes that violence at work can seriously affect employee work performance or morale. Threats, intimidation, harassment, or acts of violence will not be tolerated. The state further establishes that all officials, managers, supervisors, or employees will treat each other with courtesy, dignity, and respect. The state is committed to creating a violence-free workplace.

An individual who is the victim of workplace violence should report the incident immediately. In addition, anyone who witnesses workplace violence or the potential for such violence directed at another person or property of the state should report such incidents. Reports should be given to a supervisors or administrator of a board or commission. Corrective action will be taken to remedy violations of this policy when warranted, which could include discharge of the individual.

Equal opportunity

It is the policy of the executive branch of the State of lowa government to: provide equal opportunity to all employees, applicants, and program beneficiaries; provide equal opportunity for the advancement of employees; provide program and employment facilities that are accessible to all people; administer programs in a manner that does not discriminate against any people because of race, creed, color, religion, sex, sexual orientation, marital status, national origin, age, or physical or mental disability; and apply affirmative action measures to correct underutilization in state employment where such remedies are appropriate. Furthermore, it is the policy of the state to maintain an environment free of all forms of discrimination on the basis of race, creed, color, religion, sex, sexual orientation, marital status, national origin, age, or physical or mental disability. Such discrimination subverts the public interest, threatens the working relationships within state government employment as a while, and will not be tolerated.

The state will not tolerate violations of this policy. Gubernatorial appointees and other board and commission members found to have violated this policy will be subject to sanction or removal from office.

Sexual harassment

Sexual harassment is a violation of the Civil Rights Act of 1964 and the Iowa Code. It is defined as either a sexually hostile work atmosphere or unwelcome sexual advances, requests for sexual favors, and other verbal or physical conduct of a sexual nature. Sexual harassment is illegal when submission to or rejection of such conduct unreasonably interferes with an individual's work performance, or creates an intimidating, hostile, or offensive work environment.

The Iowa Transportation Commission strongly believes sexual harassment cannot be tolerated. To that end, the Commission is committed to completing the annual State of Iowa Executive Branch Preventing Sexual Harassment Training Course.

Other types of harassment

Harassment of an individual based on their race, creed, color, religion, sex, sexual orientation, national origin, age, or physical or mental disability is a violation of the Civil Rights Act of 1964, Iowa Civil Rights Act, the Age Discrimination in Employment Act, and the Americans with Disabilities Act of 1990.

Assignment of responsibility

Department directors have the responsibility of the overall administration of this policy. This includes responsibility for equal opportunity, affirmative action, and prevention of harassment.

Complaints

All complaints will be promptly investigated, and all allegations will remain confidential during investigation. Corrective action will be taken to remedy violations of this policy, which could include removal from office. Individuals may also file charges with the Iowa Civil Rights Commission or the U.S. Equal Employment Opportunity Commission.

COMMUNICATION WITH THE GOVERNOR'S OFFICE

You should communicate with the Governor's Chief of Staff and Director of Boards and Commissiosn in any of the following situations:



CHANGE OF ADDRESS



CHANGE OF POLITICAL PARTY



NOTICE OF RESIGNATION

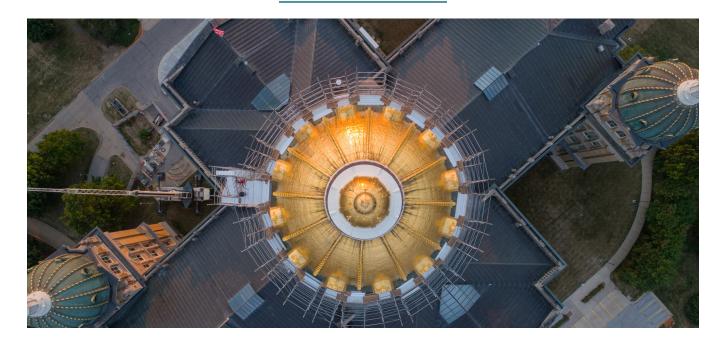


REQUEST FOR REAPPOINTMENT

GOVERNOR'S STAFF CONTACT INFORMATION

CHIEF OF STAFF Taryn Frideres taryn.frideres@governor.iowa.gov DIRECTOR OF BOARDS AND COMMISSIONS & LEGAL ASSISTANT Townsand Ayers townsand.ayers@governor.iowa.gov 515-639-8966

STATUTES OF RELEVANCE



IOWA CODE –

The following Iowa Code chapters/sections govern the role and makeup of the Commission.

- **<u>307A.1A</u>**: No more than four from the same party; Governor appoint to four-year terms July 1 to June 30, subject to Senate confirmation; meet July of each year to elect chair.
- <u>69.16:</u> Also requires political party balance.
- **<u>69.16A</u>**: No more than half, plus one, of the members of the Commission shall be of the same gender.
- <u>69.16C:</u> Minority representation is expected on each board and commission, to the extent practicable.
- <u>69.16E</u>: Young adults should be represented on every board and commission, to the extent practicable. A young adult is between the ages of 18 and 35.
- 307A.3: Conflict of interest provision.

- <u>307A.4</u>: Vacancies on Commission Any vacancy filled in same manner as regular appointee for unexpired portion of term.
- **<u>307A.5</u>**: Compensation as provided by 7E.6.
- **<u>7E.6(4)</u>**: Compensation is \$10,000 per year.
- <u>307A.6</u>: Commission meetings occur at call of chair or when any four members file a written request with chair.
- <u>307A.7:</u> Expenses.
- **<u>307A.8</u>**: Removal of Commissioner may occur per Chapter 66.
- 307A.2: Duties of Commission.
- <u>21:</u> Open meetings.
- **<u>68B</u>**: Government ethics and lobbying.

ADMINISTRATIVE RULES

The following administrative rules affect the work of the Commission or fall within their authority.

- 1: Organization of the Department of Transportation
- 2: Provisions Applicable to All Rules
- 4: Public Records and Fair Information Practices
- 10: Administrative Rules
- 162: Surface Transportation Block Grant Program
- 163: RISE Program
- 164: Traffic Safety Improvement Program
- 165: Recreational Trails Program
- 201: Intermodal Pilot Project Program

- 710: Airport Improvement Program
- 715: Air Service Development Program
- **716:** Commercial Service Vertical Infrastructure <u>Program</u>
- 717: General Aviation Vertical Infrastructure Program
- 822: Railroad Revolving Loan and Grant Fund Program
- 920: State Transit Assistance
- 923: Capital Match Revolving Loan Fund
- 924: Public Transit Infrastructure Grant Program



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